

Special Relativity and Electron Correlation: Still a Major Challenge

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Motivation

Science with small heavy-element molecules

Ultracold molecular investigations¹

Photoassociation via excited states

Astrophysics²

Collision processes in stellar atmospheres

Actinide theoretical spectroscopy³

Electronic structure in general

Fundamental physics⁴

Search for the electron electric dipole moment

The electronic-structure problem for a general diatomic molecule remains unsolved.

¹J. Doyle, B. Friedrich, R.V. Krems, F. Masnou-Seeuws, *Eur Phys J D* **31** (2004) 149

²M. Asplund, N. Grevesse, A.J. Sauval, and P. Scott, *Annu Rev Astron Astrophys* **47** (2009) 481

³B.O. Roos, P.-Aa. Malmqvist, and L. Gagliardi, *J Am Chem Soc* **128** (2006) 17000

⁴A.E. Leanhardt, J.L. Bohn, H. Loh, P. Maletinsky, E.R. Meyer, L.C. Sinclair, R.P. Stutz, and E.A. Cornell, *arXiv:1008.2997v2 [physics.atom-ph]* (2010)

Overview

- 1) Performance of **established methods** in comparison
- 2) Some **rigorous developments**: Rel. GAS-CC for excited states
- 3) A recent development: **Electron EDM** interaction constants

Special Relativity and Electron Correlation

Principal Approaches for Molecules

Spinor-based models

Hartree–Fock

2– or 4–component
Hamiltonian



Dynamic Correlation

2– or 4–component
Hamiltonian

Double-group MPPT/CI/CC

computational
cost

Spinorbital-based models

Hartree–Fock

scalar relativistic
Hamiltonian

Dynamic Correlation

2–component
Hamiltonian

Spin-orbit CI

Spin-orbit Coupled Cluster

rigor
of
theory

Additive models

Hartree–Fock

scalar relativistic
Hamiltonian

Dynamic Correlation

scalar relativistic
Hamiltonian



Magnetic Couplings

2–component
Hamiltonian

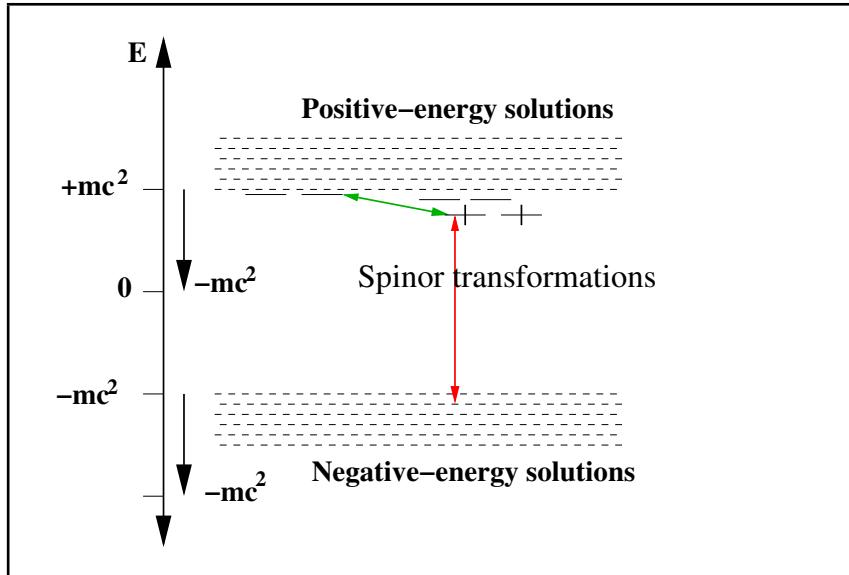


Spin-orbit QDPT

CASPT2–Spin-orbit RASSI

Four-Component Electronic-Structure Theory

The “empty-Dirac” picture



- Occupied positive-energy bound-state spinors
Fermi vacuum state $|0\rangle$
- Empty continuum of negative-energy states
- Expectation value of parameterized state vector

$$\langle Ref | \hat{H} | Ref \rangle = \langle 0 | e^{-\hat{\kappa}} \hat{H} e^{\hat{\kappa}} | 0 \rangle$$

- Approximation of general expectation value to first order:

$$\langle 0 | e^{-\hat{\kappa}} \hat{H}^{DC} e^{\hat{\kappa}} | 0 \rangle \approx \langle 0 | [\hat{H}^{DC}, \hat{\kappa}] | 0 \rangle = \sum_{pq} \kappa_{pq} \left[\langle 0 | \hat{H}^{DC} a_p^\dagger a_q | 0 \rangle - \langle 0 | \hat{H}^{DC} a_q^\dagger a_p | 0 \rangle^* \right]$$
- Parameterized Dirac-spinor transformations:

$$\hat{\kappa} = \sum_{pq} \left[\kappa_{p+q+} a_p^\dagger a_{q+} + \kappa_{p+q-} a_p^\dagger a_{q-} + \kappa_{p-q+} a_p^\dagger a_{q+} + \kappa_{p-q-} a_p^\dagger a_{q-} \right]$$
- Green terms: minimization of energy w.r.t. rotations
- Red terms: maximization of energy w.r.t. rotations
 \Rightarrow **minimax** variation

Spinors and Strings

General principles of rigorous relativistic correlation methods

General concept: Kramers-paired spinors

Time-reversal operator for a fermion:

$$\hat{K} = e^{-\frac{i}{\hbar}\pi(\hat{\vec{s}} \cdot \vec{e}_y)} \hat{K}_0 = -i\Sigma_y \hat{K}_0$$

Double group symmetry and quaternion algebra

Spinorbitals	General spinors
$\hat{K}\varphi_i \alpha = \varphi_i^* \beta$	$\hat{K}\phi_i = \phi_{\bar{i}}$
$\hat{K}\varphi_i^* \beta = -\varphi_i \alpha$	$\hat{K}\phi_{\bar{i}} = -\phi_i$

Spinor basis:

$$\phi_i = a_i^\dagger | \rangle \quad \phi_{\bar{i}} = a_{\bar{i}}^\dagger | \rangle$$

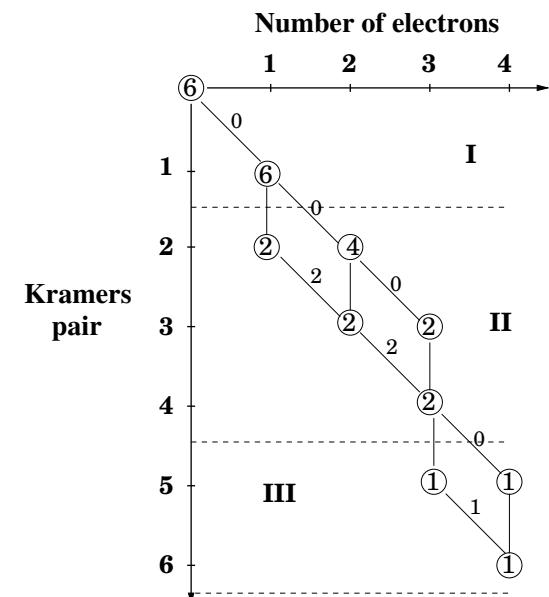
- Many-particle wavefunction defined as

1 unbarred (Kramers up) string $S = a_i^\dagger a_j^\dagger a_k^\dagger \dots$

1 barred (Kramers down) string $\bar{S} = a_{\bar{l}}^\dagger a_{\bar{m}}^\dagger a_{\bar{n}}^\dagger \dots$

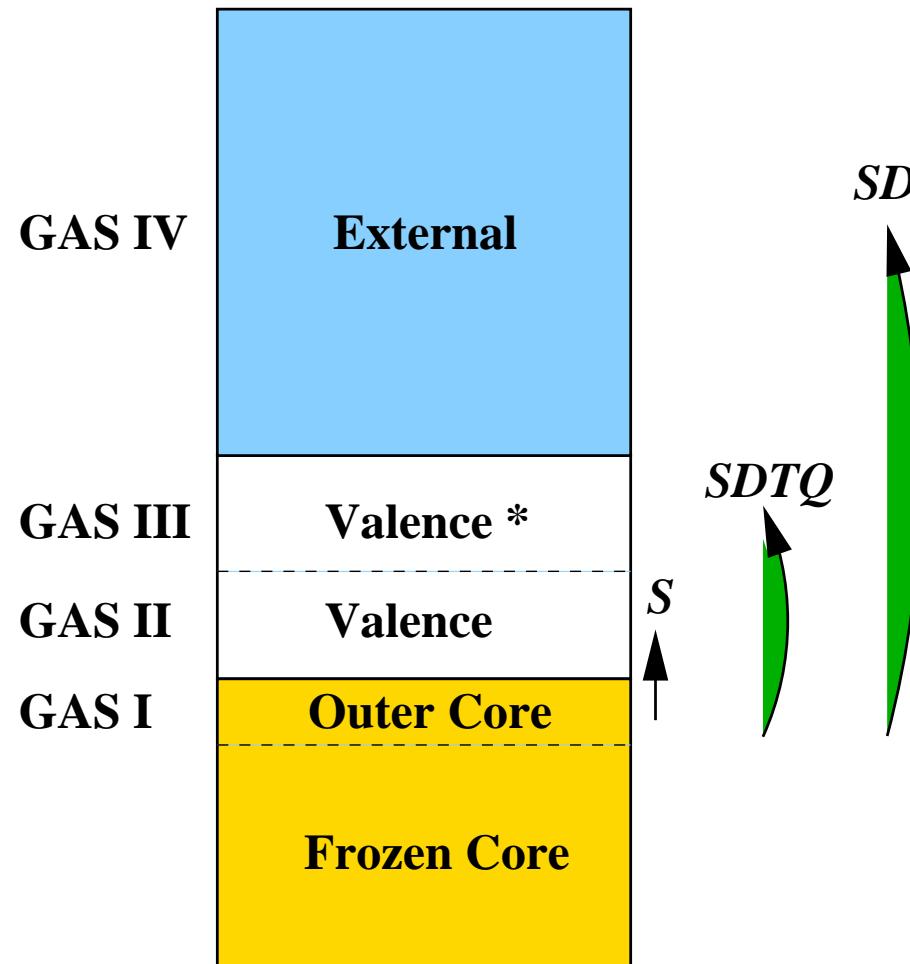
- Configuration Interaction: **Slater determinants**

Coupled Cluster: **Individual strings**



Parameterization of the Wavefunction

Generalized Active Spaces



Special Relativity and Electron Correlation

Methods in comparison

Chalcogen homonuclear and heteronuclear diatomics⁵

Vertical excitation energies among $\pi^*{}^2$ state manifold
 ΔS States ${}^3\Sigma^-, {}^1\Delta, {}^1\Sigma^+ \rightarrow 0^+, 1, 2, 0^+, (\Omega)$

Splitting of $0^+, 1$ is a second-order spin-orbit effect

Purely molecular spin-orbit splitting

Contenders:

“Additive”⁶: *SO-DDCI3, SO-CASPT2*

“Non-additive”⁷: *4c-IH-FSCC, 4c-GACI*

⁵J.-B. Rota, S. Knecht, T. Fleig, D. Ganyushin, T. Saue, F. Neese, H. Bolvin *J Chem Phys* **135** (2011) 114106

⁶F. Neese, *J Chem Phys* **119** (2003) 9428

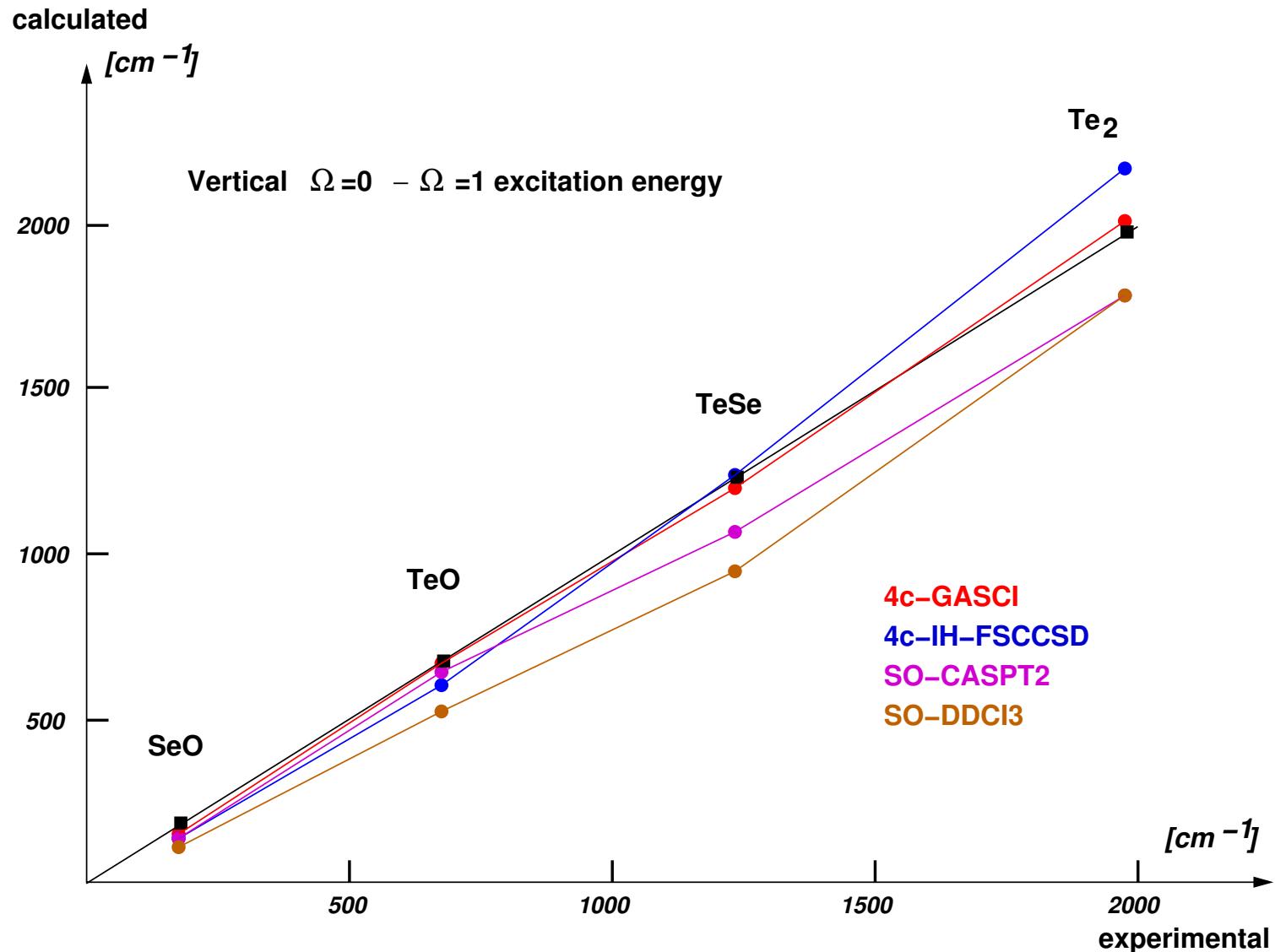
P.-Aa. Malmqvist, B.O. Roos, B. Schimmelpfennig, *Chem Phys Lett* **357** (2002) 357

⁷L. Visscher, E. Eliav, U. Kaldor, *J Chem Phys* **115** (2001) 9720

S. Knecht, H.J.Aa. Jensen, T. Fleig, *J Chem Phys* **132** (2010) 014108

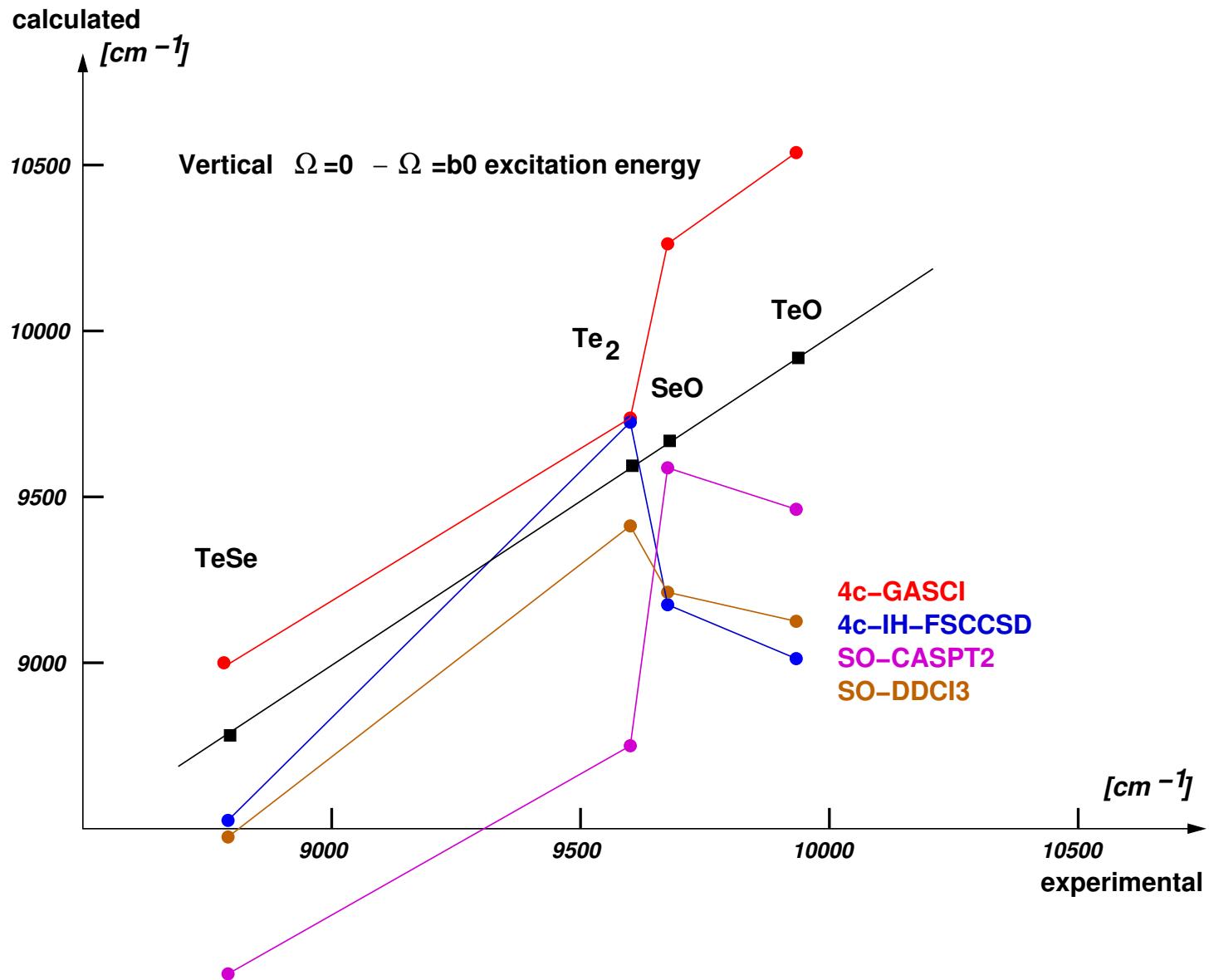
Special Relativity and Electron Correlation

Additive and non-additive methods in comparison



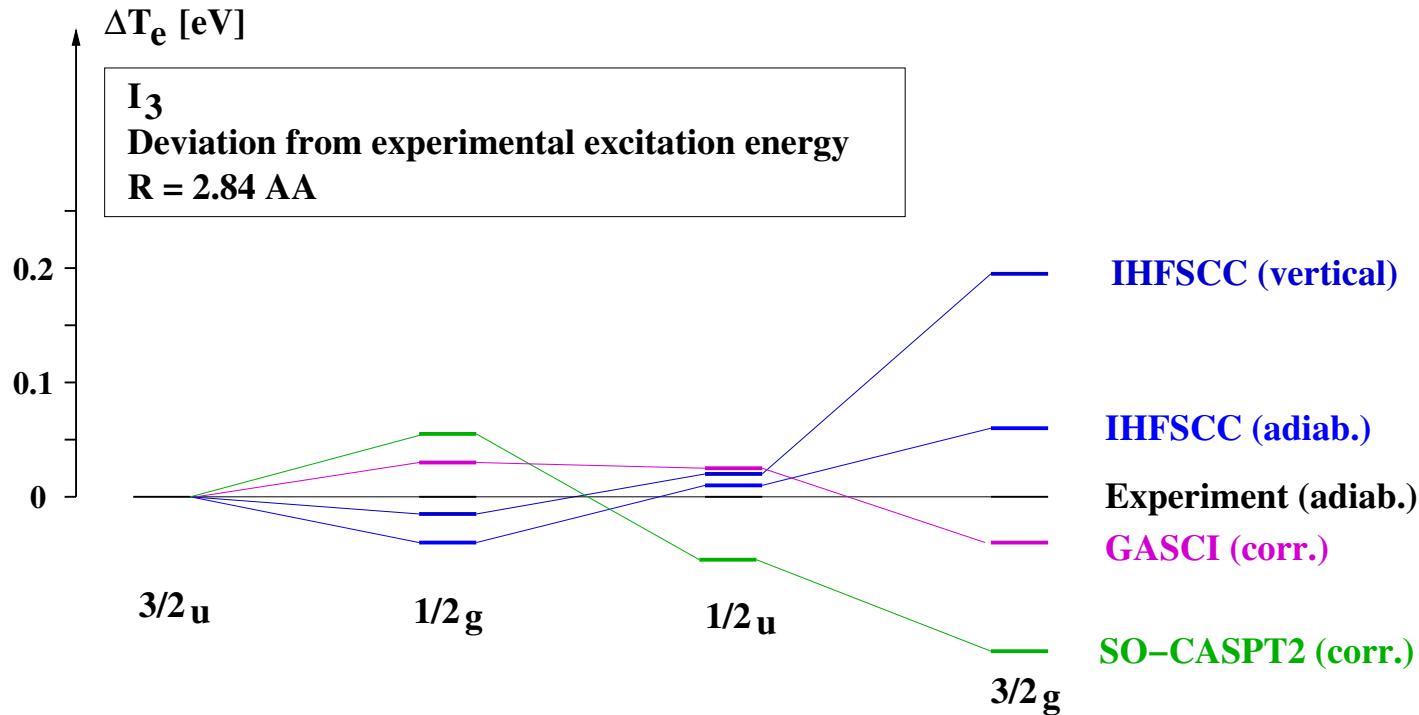
Special Relativity and Electron Correlation

Additive and non-additive methods in comparison



Comparison of Methods

Vertical electronic spectrum of I₃; Ω states⁸



- 2c-GASCI and SO-CASPT2 corrected for non-parallelity
- IH-FSCC and 2c-GASCI roughly equivalent in quality
- Errors of **2c-GASCI** < 0.05 eV

⁸A.S.P. Gomes, L. Visscher, H. Bolvin, T. Saue, S. Knecht, T. Fleig, E. Eliav, *J Chem Phys* **133** (2010) 064305

Special Relativity and Electron Correlation

Decoupling correlation and 2-electron spin-orbit terms ?

- Origin of spin-other-orbit interactions in the Gaunt-like term in

$$\begin{aligned} \hat{H}^{DCB} = & \sum_A \sum_i [c(\vec{\alpha} \cdot \vec{p})_i + \beta_i m_0 c^2 + V_{iA}] \\ & + \sum_{i,j>i} \left[\frac{1}{r_{ij}} \mathbf{1}_4 - \frac{1}{2} \left(\frac{\vec{\alpha}_i \vec{\alpha}_j}{r_{ij}} + \frac{(\vec{r}_{ij} \cdot \vec{\alpha}_i)(\vec{r}_{ij} \cdot \vec{\alpha}_j)}{r_{ij}^3} \right) \right] + \sum_{A,B>A} V_{AB} \end{aligned}$$

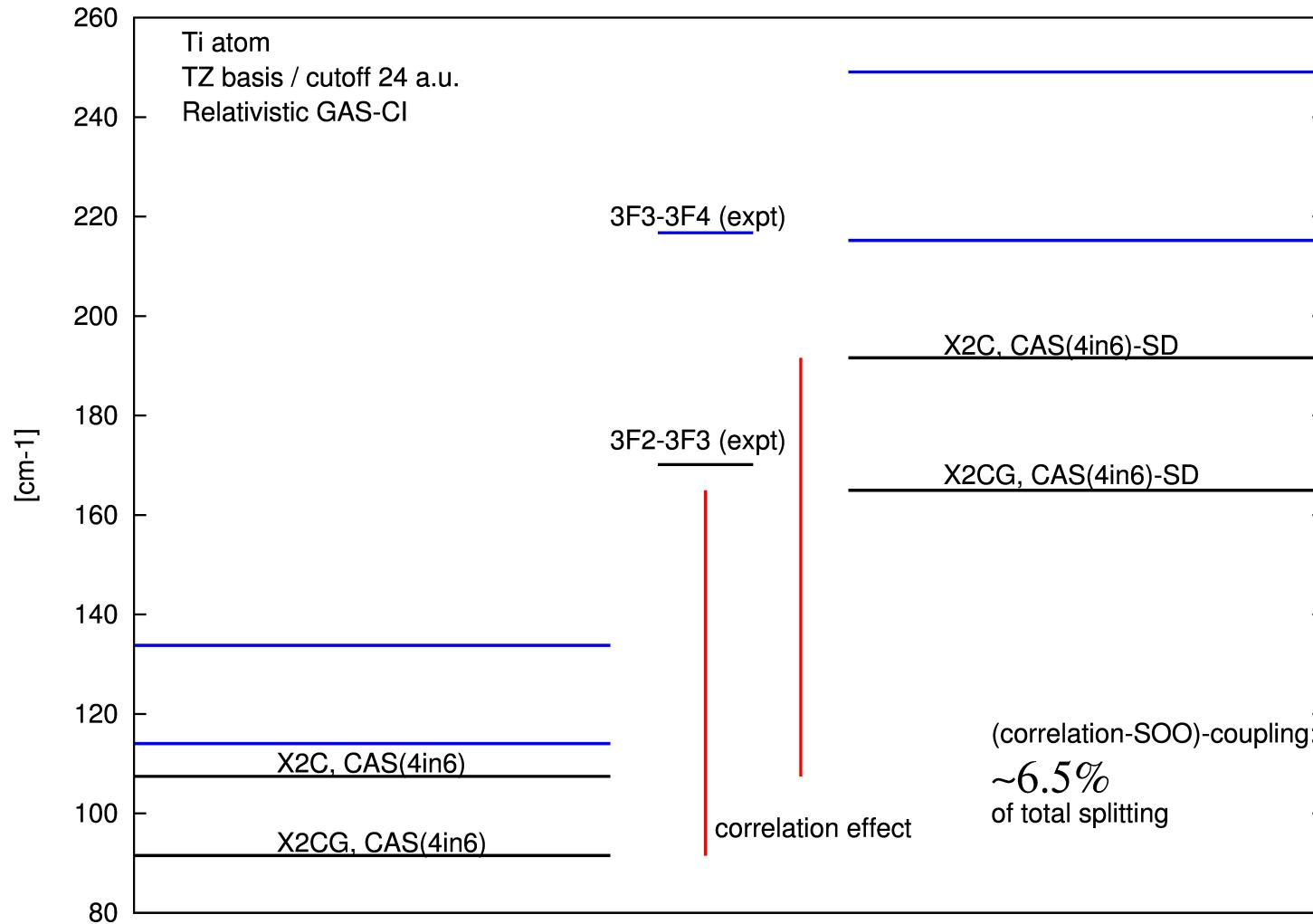
- and extracted in Breit-Pauli two-component approximation

$$\hat{H}^{SOO} = -\frac{e^2}{m_0^2 c^2} \sum_{i,j>i} \frac{1}{r_{ij}^3} [(\vec{r}_j - \vec{r}_i) \times \vec{p}_j \cdot \vec{s}_i + (\vec{r}_i - \vec{r}_j) \times \vec{p}_i \cdot \vec{s}_j]$$

- Orbit-orbit and spin-spin couplings are neglected here.
- Test in selected atoms and molecules

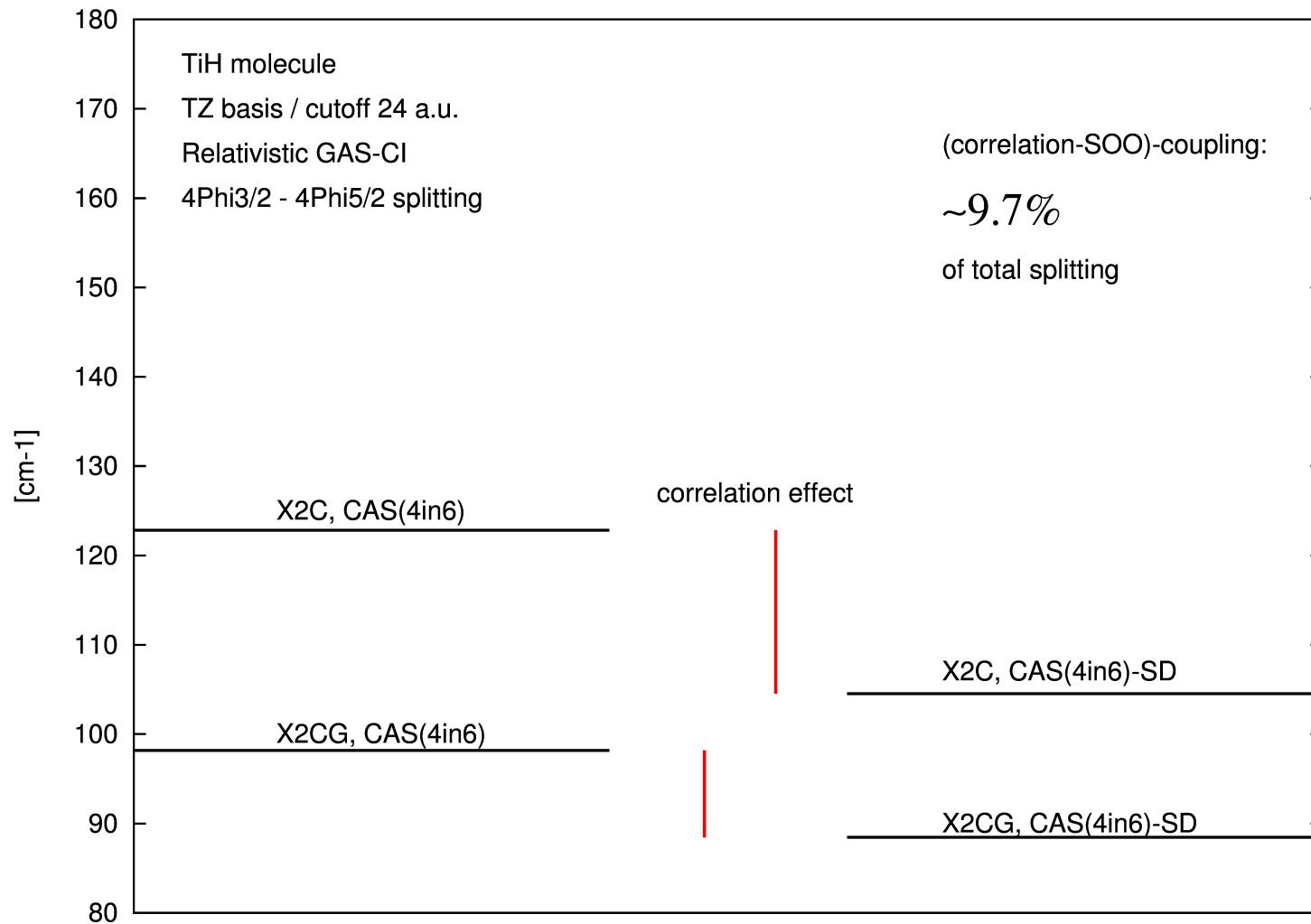
Special Relativity and Electron Correlation

Decoupling correlation and 2-electron spin-orbit terms ?



Special Relativity and Electron Correlation

Decoupling correlation and 2-electron spin-orbit terms ?



Special Relativity and Electron Correlation

Methods in comparison

Conclusions in the light of evidence

Non-additive, spinor-based methods largely superior for excitation energies

2c/4c-GASCI allows for balanced treatment of ground and excited states

CI not size extensive

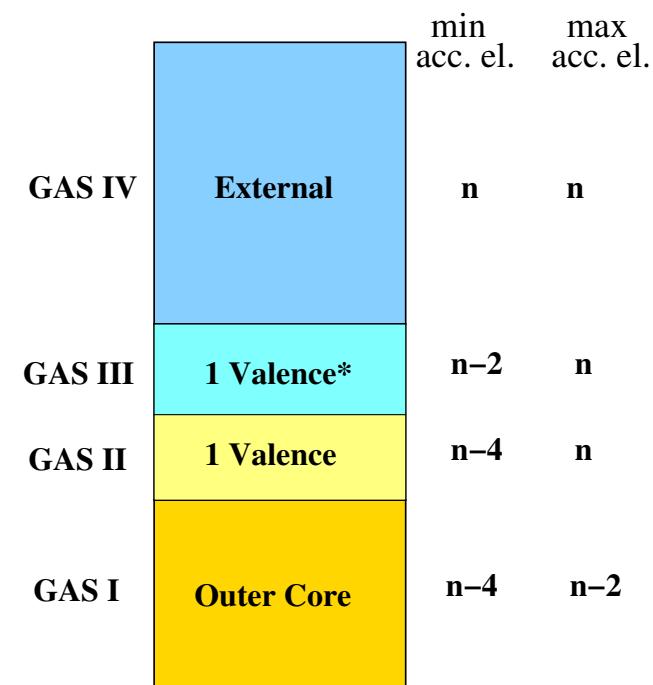
CI inefficient in treating higher excitations

Goal: More efficient spinor-based size-extensive electron correlation methods

Relativistic Generalized-Active-Space CC

L. K. Sørensen, J. Olsen, T. Fleig, *J Chem Phys* **134** (2011) 214102
 T. Fleig, L. K. Sørensen, J. Olsen, *Theo Chem Acc* **118**,**2** (2007) 347
 J. Olsen, *J Chem Phys* **113** (2000) 7140

- “State-Selective” (SS) GAS-CC
Generalized “Oliphant/Adamowicz” Ansatz⁹
- GAS-extended excitation manifold
 $\langle \mu_{\text{GASCC}} | = \langle \psi^{\text{Ref}} | \hat{\tau}_{\mu_{\text{GAS}}}^\dagger$
- $\hat{\tau}_{\mu_{\text{GAS}}}$ contains GAS-selected higher excitations
 $|\psi^{\text{GASCC}}\rangle = \exp(\sum_\mu t_\mu \hat{\tau}_{\mu_{\text{GAS}}}) |\psi^{\text{Ref}}\rangle$
- Relativistic generalization of cluster operators
 $\hat{T}_1 = \sum_{ia} \left\{ t_i^a \hat{\tau}_i^a + t_{\bar{i}}^a \hat{\tau}_{\bar{i}}^a + t_i^{\bar{a}} \hat{\tau}_i^{\bar{a}} + t_{\bar{i}}^{\bar{a}} \hat{\tau}_{\bar{i}}^{\bar{a}} \right\}; \hat{T}_2 = \dots$



Example for constructed higher excitations:

$$\begin{aligned} \langle \mu_{\text{GASCC}} | &= \left\langle \mu^{S(\text{III}^1)} \right| + \left\langle \mu^{S(\text{IV}^1)} \right| + \left\langle \mu^{D(\text{III}^2)} \right| + \left\langle \mu^{D(\text{IV}^2)} \right| + \left\langle \mu^{D(\text{III}^1+\text{IV}^1)} \right| \\ &\quad + \left\langle \mu^{T(\text{III}^1+\text{IV}^2)} \right| + \left\langle \mu^{T(\text{III}^2+\text{IV}^1)} \right| + \left\langle \mu^{Q(\text{III}^2+\text{IV}^2)} \right| \end{aligned}$$

⁹N. Oliphant, L. Adamowicz *J Chem Phys* **94** (1991) 1229

Relativistic Generalized-Active-Space CC

Electronic Ground States¹⁰

CC vector function

$$\Omega_\mu = \left\langle \mu \left| \left(\hat{H} + [\hat{H}, \hat{T}] + \frac{1}{2} [[\hat{H}, \hat{T}], \hat{T}] \frac{1}{6} [[[[\hat{H}, \hat{T}], \hat{T}], \hat{T}] \dots] \right) \right| \text{Ref} \right\rangle$$

- Loop over **relativistic** $N\Delta M_K$ classes of \hat{H}, \hat{T}
Determines min./max. commutator nesting
- Loop over commutator type, e.g. $[[[\hat{H}, \hat{T}], \hat{T}], \hat{T}]$
- Loop over **relativistic** $N\Delta M_K$ classes of \hat{T} operators
Find all possible contractions
- Loop over contractions and perform, e.g.

$$[[\hat{H}_{2v,2v}, \hat{T}_{2v,2o}], \hat{T}_{2v,2o}] \\ = \frac{1}{4} \sum_{abcd, i'j'a'b', i''j''a''b''} (ad|bc) t_{i'j'}^{a'b'} t_{i''j''}^{a''b''} a_a^\dagger a_b^\dagger a_c^\dagger a_d^\dagger a_{a'}^\dagger a_{b'}^\dagger a_{i'}^\dagger a_{j'}^\dagger a_{a''}^\dagger a_{b''}^\dagger a_{i''}^\dagger a_{j''}^\dagger.$$

¹⁰L. K. Sørensen, J. Olsen, T. Fleig, *J Chem Phys* **134** (2011) 214102

L. K. Sørensen, T. Fleig, J. Olsen, *Z Phys Chem* **224** (2010) 999

Relativistic Generalized-Active-Space CC¹¹

Excitation Energies¹²

$$J_{\mu}^{CC} = \sum_{\nu} \left\langle \mu_{\text{GAS}} \left| e^{-\hat{T}_{\text{GAS}}} \left[\hat{H}, \hat{\tau}_{\nu_{\text{GAS}}} \right] e^{\hat{T}_{\text{GAS}}} \right| \psi^{\text{Ref}} \right\rangle x_{\nu}$$

1. $|a\rangle = e^{\hat{T}_{\text{GAS}}} |\psi^{\text{Ref}}\rangle = \left(\sum_{k=0}^{\infty} \frac{1}{k!} \hat{T}_{\text{GAS}}^k \right) |\psi^{\text{Ref}}\rangle$

$\hat{T}_{\text{GAS}} |\psi^{\text{Ref}}\rangle$ corresponds to calculating a sigma vector with amplitudes.

2. $|b\rangle = [\hat{H}, \hat{\tau}_{\nu_{\text{GAS}}}] |a\rangle = (\hat{H} \hat{\tau}_{\nu_{\text{GAS}}} - \hat{\tau}_{\nu_{\text{GAS}}} \hat{H}) |a\rangle \quad (\text{CI sigma vectors})$

3. $|c\rangle = e^{-\hat{T}_{\text{GAS}}} |b\rangle = \left(\sum_{k=0}^{\infty} \frac{(-1)^k}{k!} \hat{T}_{\text{GAS}}^k \right) |b\rangle$

4. $\Omega_{\mu_{\text{GAS}}} = \langle \mu_{\text{GAS}} | c \rangle = \langle \psi^{\text{Ref}} | \hat{\tau}_{\mu_{\text{GAS}}}^{\dagger} | c \rangle \quad (\text{CI transition density matrices})$

Computational scaling:

CI-based implementation $O^{n+2}V^{n+2}$

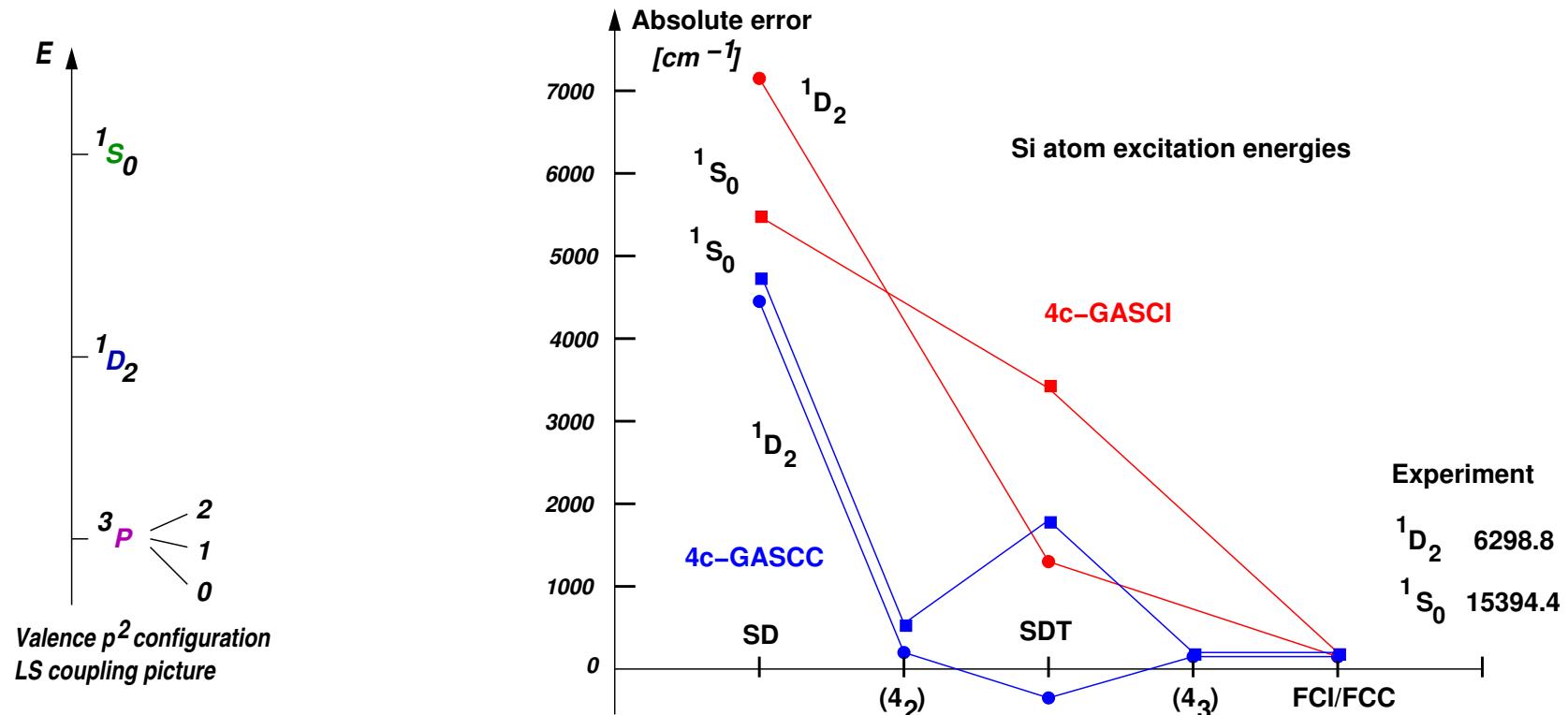
Conventional CC: $O^n V^{n+2}$

¹¹ M. Hubert, L. K. Sørensen, J. Olsen, T. Fleig, *Phys Rev A* **XXX** (2012) *in press*.

¹² K. Hald, P. Jørgensen, J. Olsen, and M. Jaszuński, *J Chem Phys* **115** (2001) 671

A Simple (?) Test Case: Si Atom

- Closed-shell single-reference calculations



- CISD and CCSD exhibit huge (positive) errors
- Selected higher excitations give decisive correction

Test Case: Si Atom

Analysis of Fermi vacuum determinant

- Reference determinant built from $j - j$ -coupled Pauli spinors:

$$|j(1), m_j(1); j(2), m_j(2)| = \left| \frac{1}{2}, \frac{1}{2}; \frac{1}{2}, -\frac{1}{2} \right| = -\sqrt{\frac{2}{3}} {}^3P_0 - \frac{1}{\sqrt{3}} {}^1S_0$$

- Significant **admixture** from **one excited state**
- Reference determinant is biased and unbalanced
- Single excitations represent some **excited states**:

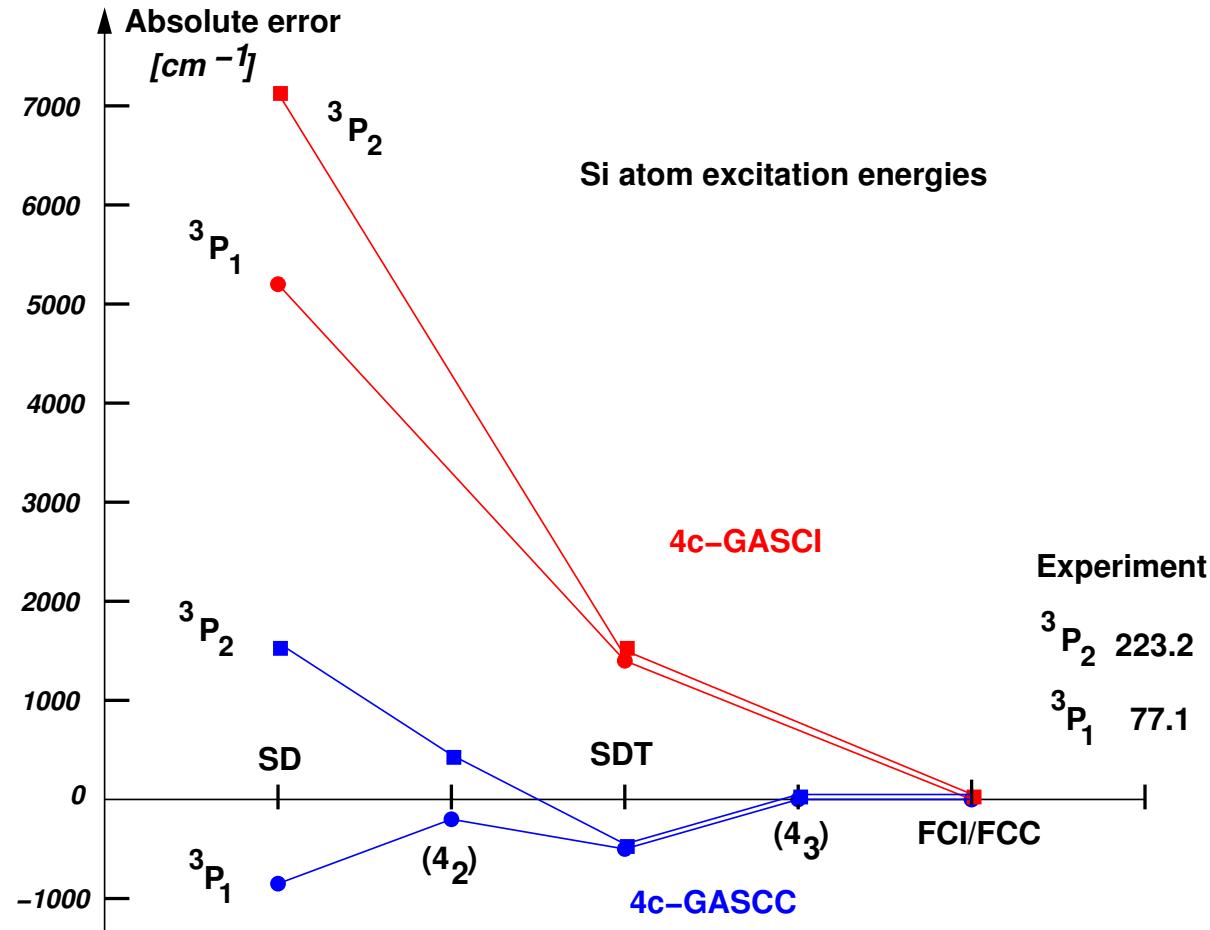
$$\left| \frac{3}{2}, \frac{1}{2}; \frac{1}{2}, \frac{1}{2} \right| = -\frac{1}{2} {}^3P_1 - \frac{1}{2} {}^3P_2 + \frac{1}{\sqrt{2}} {}^1D_2$$

- Double excitations add 1S_0 character:

$$\left| \frac{3}{2}, \frac{3}{2}; \frac{3}{2}, -\frac{3}{2} \right| = \frac{1}{\sqrt{3}} {}^3P_2 + \frac{1}{\sqrt{6}} {}^1D_2 - \frac{1}{\sqrt{6}} {}^3P_0 + \frac{1}{\sqrt{3}} {}^1S_0$$

Test Case: Si Atom

Understanding the first-order SO splitting



- Selected higher excitations give large correction, but
- CC(4₂) not sufficiently accurate

Study of a Molecular Series

The pnictogen monohydrides

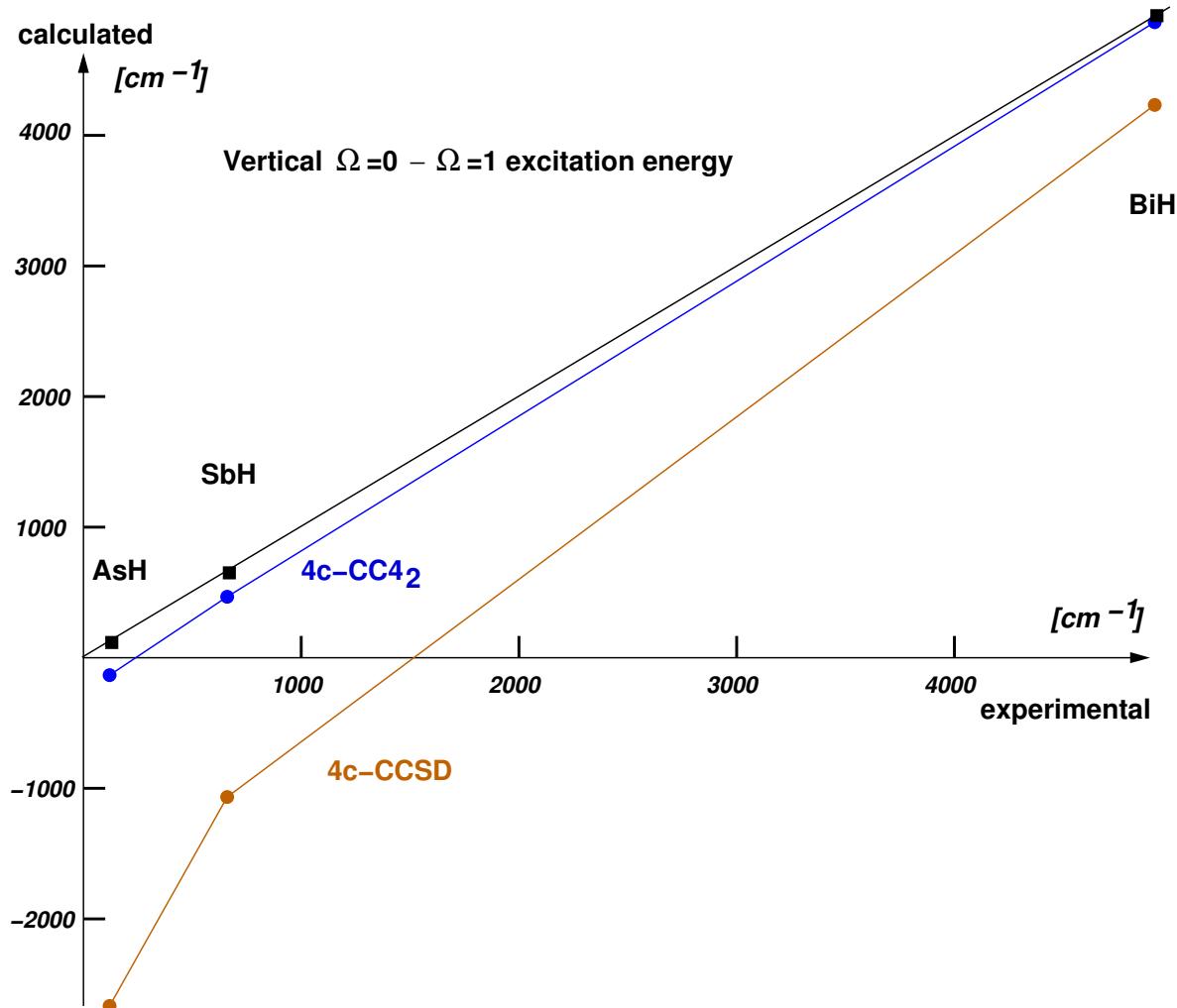
AsH, SbH, BiH

- Ground-state configuration $ns^2\sigma^2\pi^2$
- ω coupling picture for heavier elements
- $\Omega = 0 : \pi_{1/2}^1 \pi_{-1/2}^1$ and $\pi_{3/2}^1 \pi_{-3/2}^1$ (ground state)
- $\Omega = 1 : \pi_{3/2}^1 \pi_{-1/2}^1$ (first excited state)
- Goal: Accurate description of the $\Omega = 0/\Omega = 1$ splitting ¹³

¹³M. Hubert, L. K. Sørensen, J. Olsen, T. Fleig, *Phys Rev A* **XXX** (2012) *in press*.

Series AsH, SbH, BiH

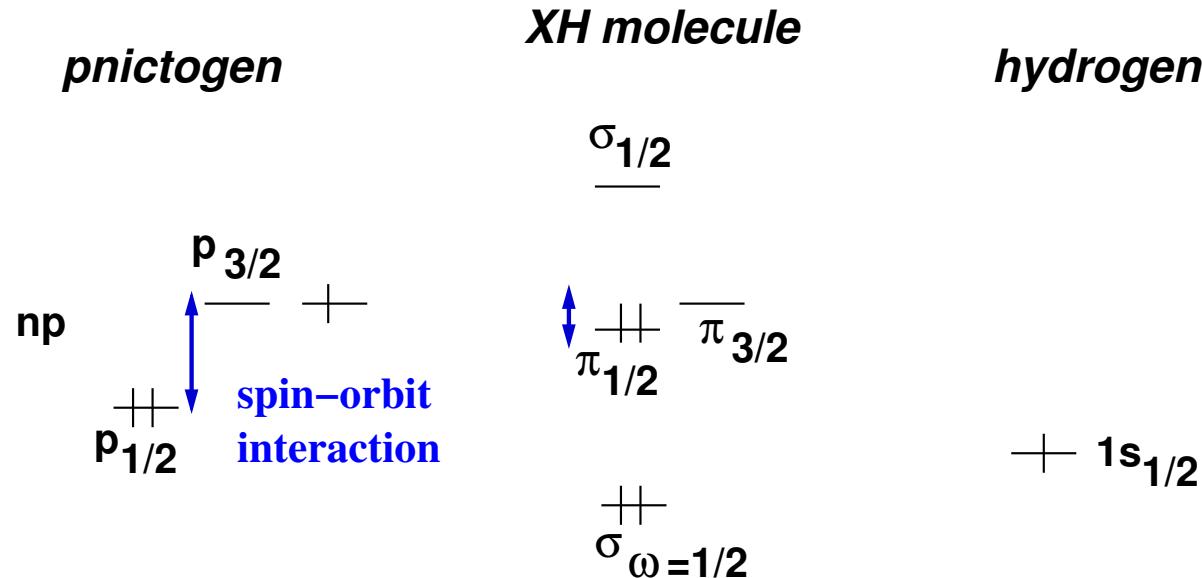
The strange behavior of CCSD



- Huge errors for As homologue

Series AsH, SbH, BiH

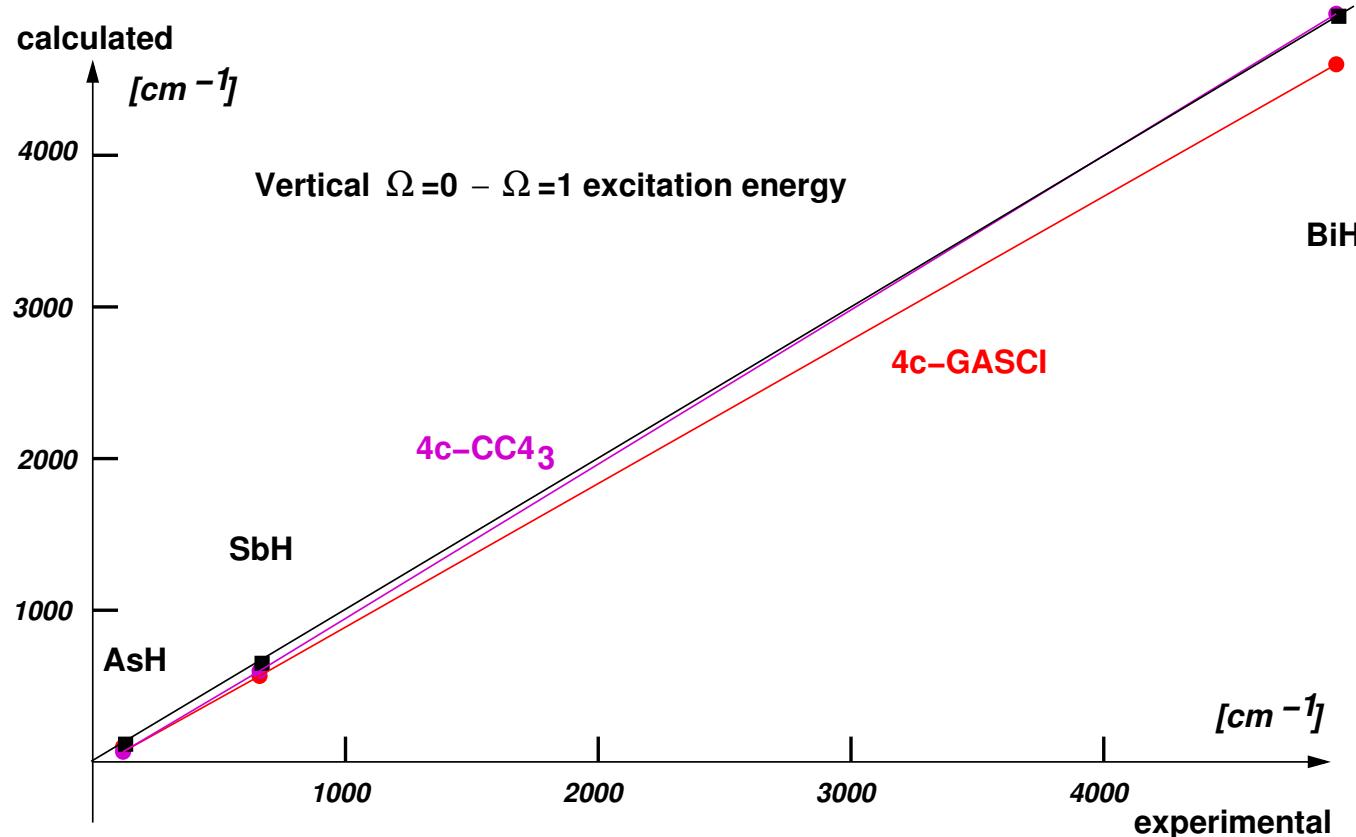
Spinors and the molecular field



- ω coupled spinors: $\pi_{1/2}^2$ Fermi vacuum
- True ground state is a perturbed ${}^3\Sigma^-$ wavefunction (lighter homologues)
- Requires double excitation to compensate \Rightarrow Bad description at CCSD level
- CC(4_2) corrects for this deficiency

Series AsH, SbH, BiH

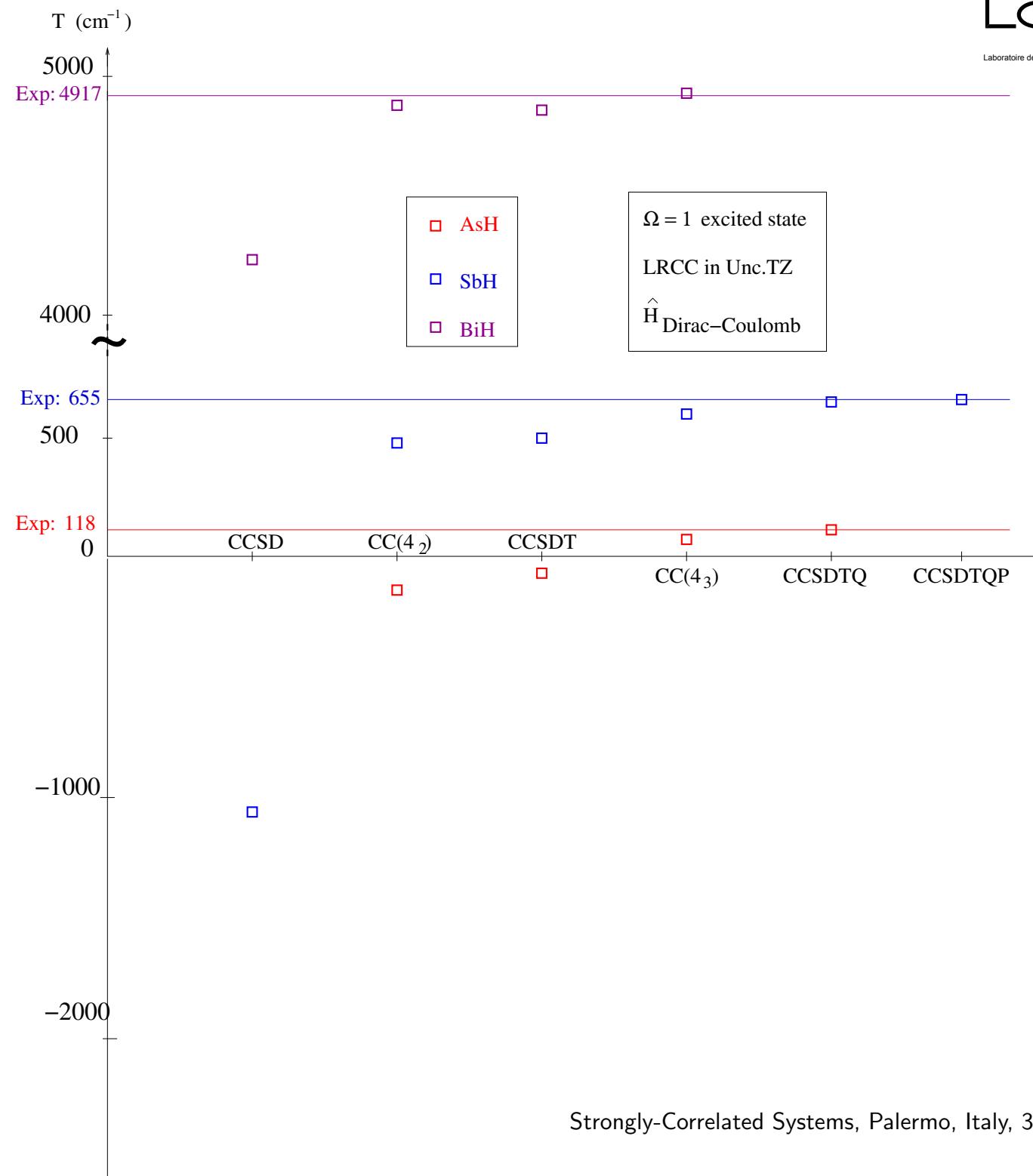
When is CC superior to GAS-Cl?



- CC₄₃ calculations consistently better than CAS-CISD

Convergence of GAS-CC models

AsH, SbH, BiH

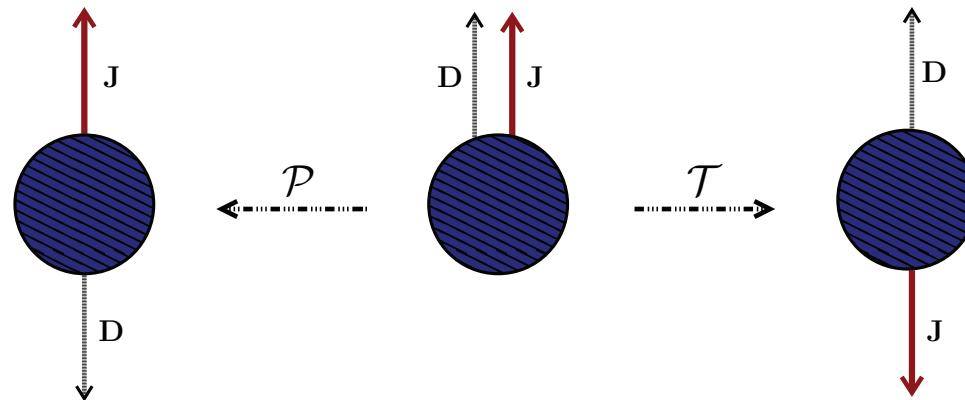


Conclusion

- 4c-GASCI reliable method for excited-state calculations
- 4c-GASCI only practical as MR-SD model for demanding cases
- Higher accuracy through 4c-GASCC as (n_2) models in some cases
- CC(n_3) is too expensive in general
- Simple closed-shell reference state not desirable in general
- Fermi-vacuum dependance is major obstacle in excited-state calculations

Testing fundamental physics:

Could the e have a permanent electric dipole moment \vec{D} ?



\vec{D} aligned with \vec{J} due to projection theorem:

$$\left\langle \alpha', JM_J \left| \hat{V}_q \right| \alpha', JM_J \right\rangle = \frac{\left\langle \alpha', JM_J \left| \hat{J} \cdot \hat{V} \right| \alpha', JM_J \right\rangle}{\hbar^2 J^2 (J+1)} \left\langle JM_J \left| \hat{J}_q \right| JM_J \right\rangle$$

Implies combined violation of **Parity** and **Time-Reversal** symmetries¹⁴

No violation of the \mathcal{CPT} theorem

¹⁴E.D. Commins, *Adv At Mol Opt Phys* **40** (1998) 1

Testing fundamental physics:

Current predictions for the eEDM¹⁵

Model	$ d_e [e \cdot cm]$
Standard model	$< 10^{-38}$
Left-right symmetric	$10^{-28} \dots 10^{-26}$
Lepton-flavor changing	$10^{-29} \dots 10^{-26}$
Multi-Higgs	$10^{-28} \dots 10^{-27}$
(naive) Supersymmetric	$\leq 10^{-25}$
Experimental limit ¹⁶	$< 1.6 \cdot 10^{-27}$
Experimental limit ¹⁷	$< 10.5 \cdot 10^{-28}$

¹⁵A.V. Titov, N.S. Mosyagin, A.N. Petrov, T.A. Isaev, D.P. DeMille, *Recent Advances in the Theory of Chemical and Physical Systems* (2006) 253-283

¹⁶B.C. Regan, E.D. Commins, C.J. Schmidt, D.P. DeMille, *Phys Rev Lett* **88** (2002) 071805/1

¹⁷J.J. Hudson, D.M. Kara, I.J. Smallman, B.E. Sauer, M.R. Tarbutt, E.A. Hinds, *Nature* **473** (2011) 493

The eEDM in a molecular framework

Essentials of the physics

- Point of departure: Salpeter's¹⁸ modified Dirac equation:

$$[(p_\mu + \frac{e}{c}A_\mu) \gamma_\mu - im_0c] \psi(\vec{r}) = -\zeta \left(\frac{e\hbar}{4m_0c^2} \right) \gamma_5 \gamma_\mu \gamma_\nu F_{\mu\nu} \psi(\vec{r})$$

- from which the eEDM operator can be written as an expectation value:

$$\langle -d_e \gamma^0 \Sigma \cdot \mathbf{E} \rangle_{\psi_H} = \frac{2icde}{e\hbar} \langle \gamma^0 \gamma^5 \vec{p}^2 \rangle_{\psi_H}$$

- Requires kinetic-energy integrals of the type:

$$\langle \psi^L | \vec{p}^2 | \psi^S \rangle$$

- and therefore explicitly the Small-component wave functions.
- Implementation as 4c-CI expectation values¹⁹.

¹⁸E. Salpeter, *Phys XXX* **XX** (1957) XXX

¹⁹M. K. Nayak and T. Fleig, unpublished.

The eEDM in a molecular framework

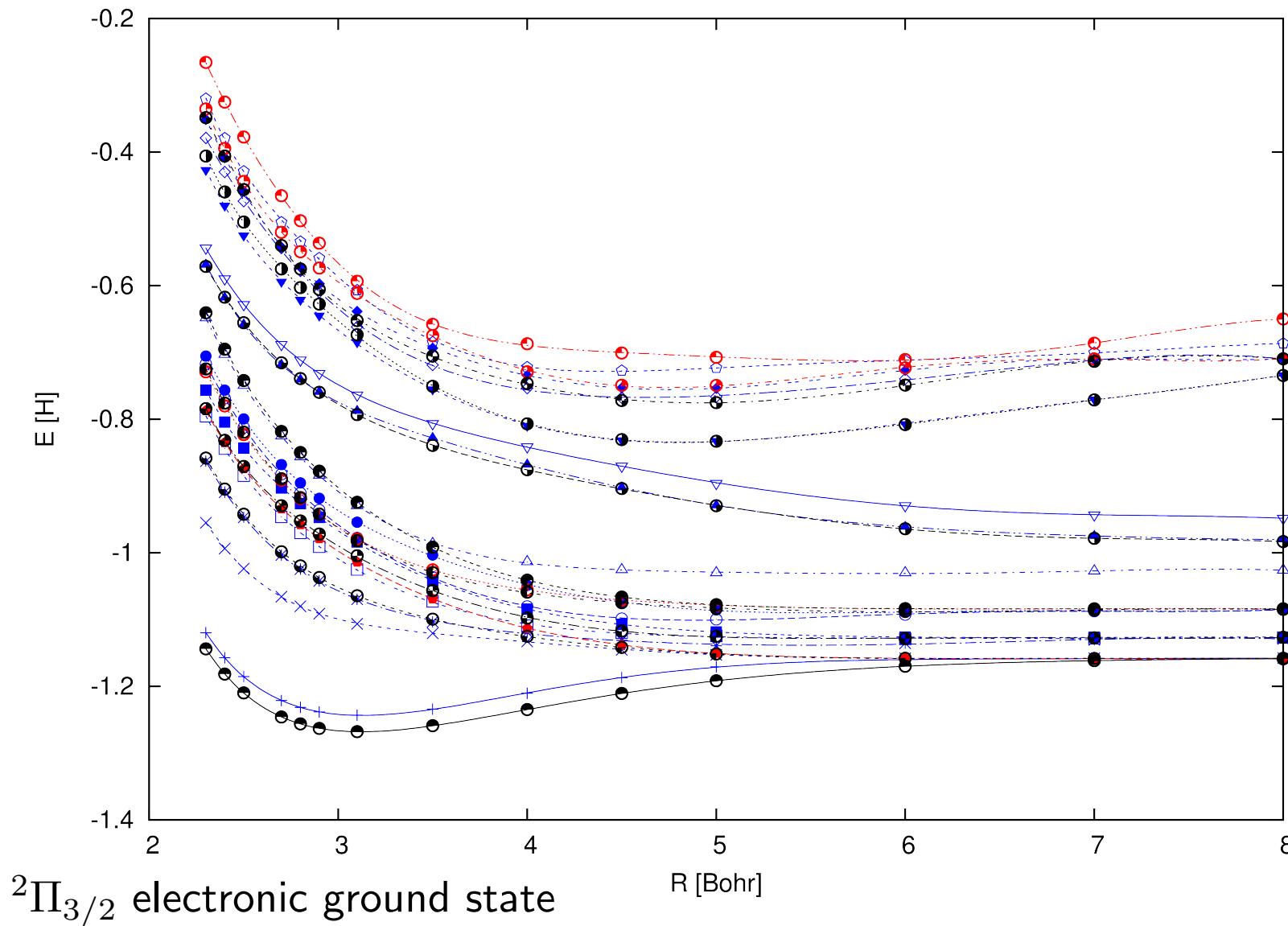
Some candidate molecules

- ThF⁺, HfF⁺ (Experiment, Cornell group)
- WC (Experiment, Leanhart group, Michigan)
- ThO²⁰ (Theory, Meyer et al.)
- IH⁺ (Theory, Titov et al.)

²⁰J. Paulovič, T. Nakajima, K. Hirao, R. Lindh, P.-Å. Malmqvist, *J Chem Phys* **119** (2003) 798

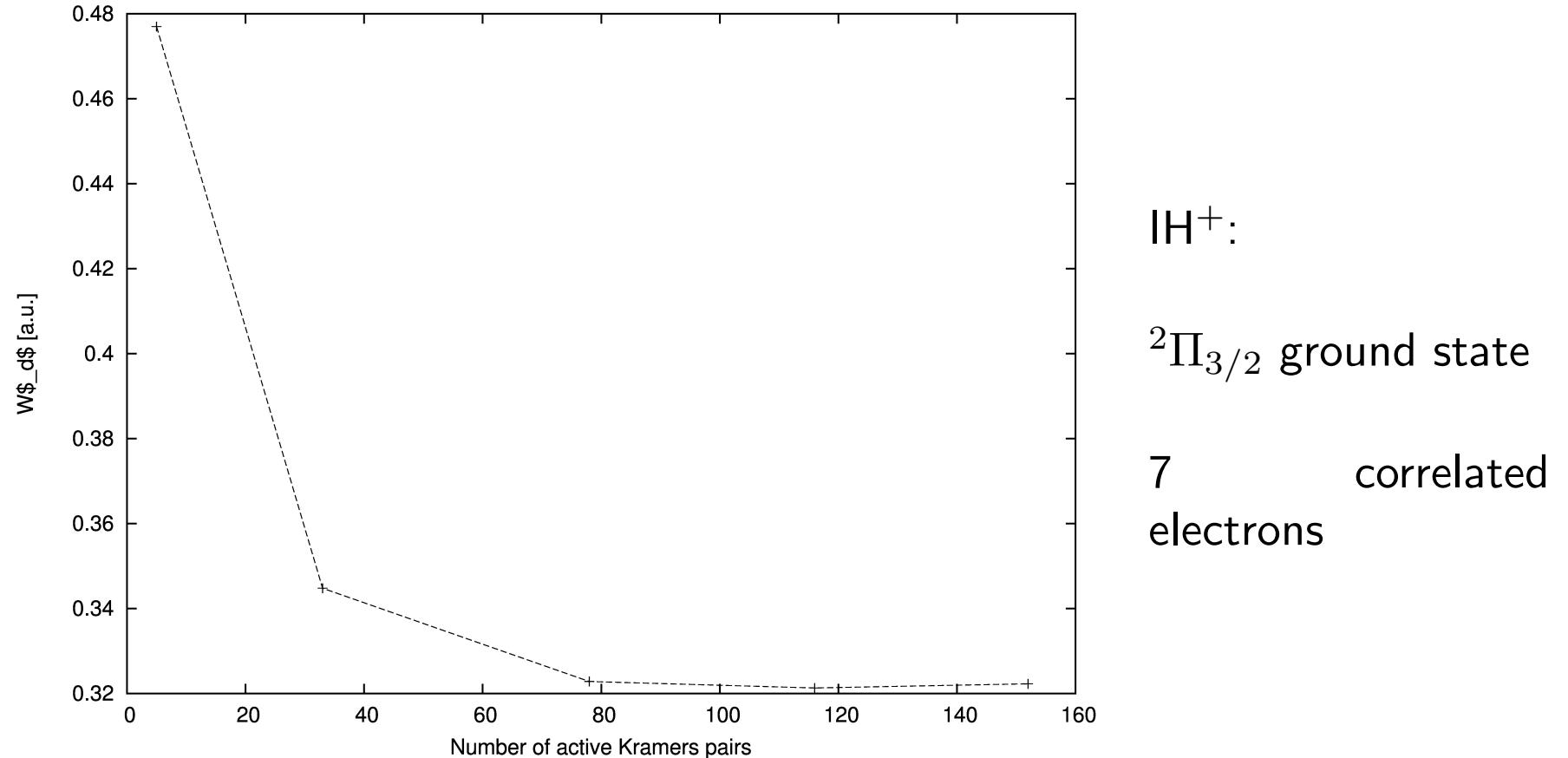
The eEDM in a molecular framework

IH^+ as a candidate system



The eEDM in a molecular framework

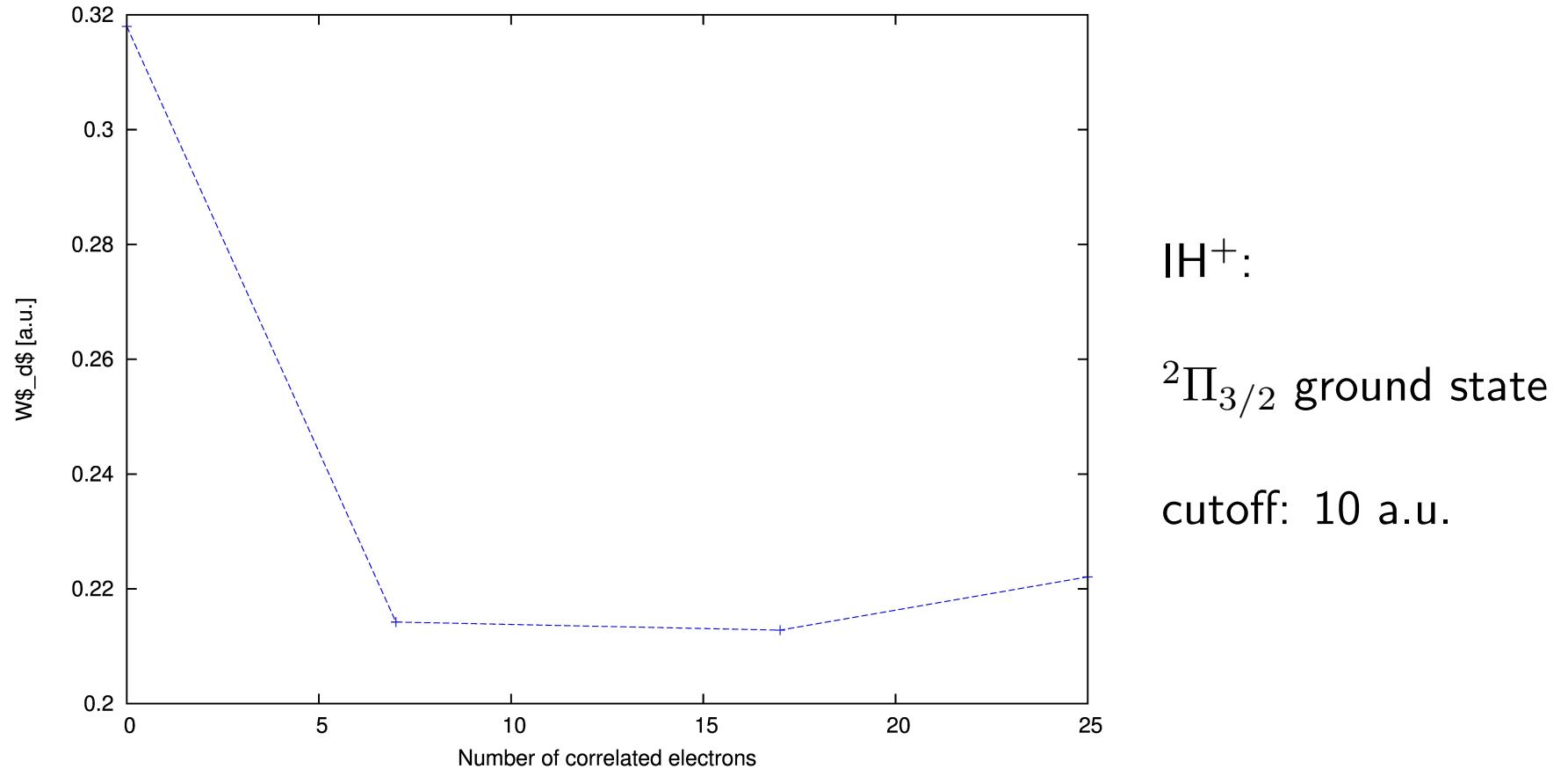
Correlation dependence of \mathcal{P}, \mathcal{T} -odd interaction constant W_d



$$W_d = \frac{2ic}{\Omega e\hbar} \langle \gamma^0 \gamma^5 \vec{p}^2 \rangle_{\psi_H}$$

The eEDM in a molecular framework

Correlation dependence of \mathcal{P}, \mathcal{T} -odd interaction constant W_d



Ongoing Work

- Commutator-based GER CC Jacobian, **non-relativistic version**
(with Mickael Hubert and Jeppe Olsen)
- Commutator-based GER CC Jacobian, **relativistic version**
(with Mickael Hubert and Lasse Sørensen)
- 4-component **Gaunt / Breit operator** in correlated approaches
(with Jessica Loras)
- **Electron EDM constants** in other diatomic molecules
(with Malaya K. Nayak)

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Århus, Denmark

Malaya K. Nayak

Bhabha Research Institute, India

Hans Jørgen Aa. Jensen

Odense, Denmark

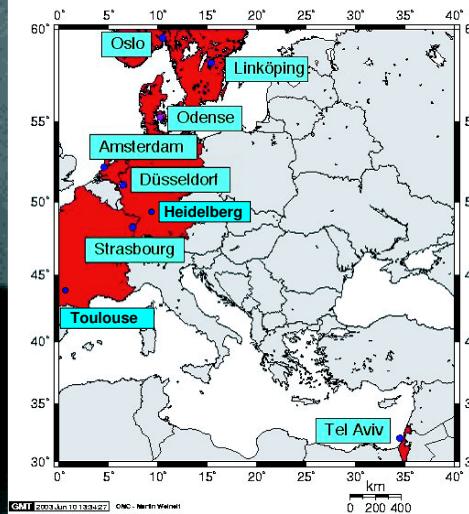
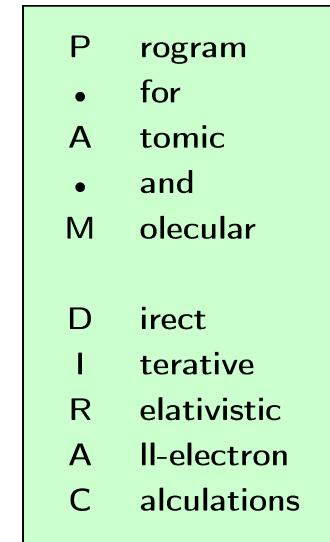
Hélène Bolvin

Toulouse, France

Trond Sauve

Toulouse, France

DIRAC a European metalaboratory for the development of relativistic 4- and 2-component quantum-physical and -chemical methodology



- KR-CI.
Kramers-Restricted GAS Configuration Interaction Program
(released in DIRAC10/DIRAC11)
Authors: S Knecht, T Fleig, J Olsen, HJAa Jensen
- KR-CC.
Kramers-Restricted GAS Coupled Cluster Program
(not yet released)
Authors: LK Sørensen, J Olsen, M. Hubert, T Fleig

The CI-Based CC Jacobian

Scaling Properties

$$\Omega_\mu = \langle \mu | e^{-\hat{T}} \hat{H} e^{\hat{T}} | \text{Ref} \rangle$$

- $e^{-\hat{T}}$ increases excitation rank (just as $e^{\hat{T}}$) !
- $\Rightarrow \hat{H} e^{\hat{T}} |\text{Ref}\rangle$ required to be **inside** space of excitation manifold $\langle \mu |$
- \hat{H} may have de-excitation rank of 2
- Therefore:
 $\hat{H} e^{\hat{T}} |\text{Ref}\rangle$ CI problem with extended space!
- \Rightarrow e.g. CCSD requires a CISDTQ linear transformation.
- CI-based implementation $O^{n+2}V^{n+2}$
 Conventional CC: $O^n V^{n+2}$
- CI-based implementation, considering GAS: $O^{m+2}V^{m+2}O^{n-m}v^{n-m}$
 Conventional CC, considering GAS: $O^m V^{m+2}O^{n-m}v^{n-m}$

I_3^- Molecular Ion

Different Methods in Comparison

