**Q1** Analysis of parity violation in chiral molecules

Radovan Bast, Anton Koers, André Severo Pereira Gomes, Miroslav Iliáš, Lucas Visscher, Peter Schwerdtfeger and Trond Saue\*

A tiny energy difference between enantiomers of chiral molecules is induced by the weak force. We present a detailed analysis of parity violation in molecules in a 4-component relativistic frame-work.

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**Q1 Analysis of parity violation in chiral molecules**10 **Radovan Bast,<sup>a</sup> Anton Koers,<sup>b</sup> André Severo Pereira Gomes,<sup>c</sup> Miroslav Iliáš,<sup>d</sup>  
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15 In order to guide the experimental search for parity violation in molecular systems, in part  
motivated by the possible link to biomolecular homochirality, we present a detailed analysis in a  
relativistic framework of the mechanism behind the tiny energy difference between enantiomers  
induced by the weak force. A decomposition of the molecular expectation value into atomic  
20 contributions reveals that the effect can be thought of as arising from a *specific* mixing of valence  
 $s_{1/2}$  and  $p_{1/2}$  orbitals on a single center induced by a chiral molecular field. The intra-atomic  
nature of the effect is further illustrated by visualization of the electron chirality density and  
suggests that a simple model for parity violation in molecules may be constructed by combining  
pre-calculated atomic quantities with simple bonding models. A 2-component relativistic  
25 computational procedure is proposed which bridges the relativistic and non-relativistic approaches  
to the calculation of parity violation in chiral molecules and allows us to explore the single-center  
theorem in a variational setting.

**1. Introduction**

30 Emil Fischer's pioneering studies of peptides and sugars in 1891 led to the classification of chiral molecules,<sup>1</sup> (D)-sugars  
and (L)-amino acids in particular, and to the confirmation of Pasteur's original conjecture that the universe is dissym-  
35 metric.<sup>2</sup> Note that chirality, or dissymmetry in the terminology of Pasteur,<sup>3</sup> implies absence of improper rotations, that is, an  
achiral molecule is not necessarily devoid of any symmetry elements. The discovery that the basic molecular building  
blocks of living organisms have a distinct chirality, and that  
40 only one enantiomeric form ((D)-sugars and (L)-amino acids) is predominantly found in living systems, has puzzled

researchers for more than a century.<sup>4</sup> In fact, the study of proteinogenic amino acids from fossil bones shows that the  
30 (L)-form has been the exclusive component in life forms for at least 100 million years.<sup>5</sup> It seems plausible that the onset of  
biomolecular homochirality happened at an early stage in the chemical/biological evolution process on earth, perhaps  
around 4 billion years ago.

35 There are many hypotheses on the origin of biomolecular homochirality (an excellent review on the various hypotheses  
put forward over the last 60 years or so has been given by Bonner<sup>6</sup>). The hypotheses can be classified broadly into  
40 *biotic* and *abiotic* theories, with a further subdivision of abiotic theories into *deterministic* and *probabilistic*, and  
*terrestrial* and *extra-terrestrial* theories (panspermia theory for the latter).<sup>7–11</sup> The field is heavily debated and open  
to much speculation, and it is fair to say that we do not have a clear understanding of the pre-biotic chemistry  
45 responsible for the emergence of single-handed molecules in life.<sup>12</sup> It is however clear that biomolecular homochirality  
is one (of the many) necessary conditions for life, as it is required to form the secondary, tertiary and quaternary  
structures of the proteins to function correctly, as well as the helical structure of the DNA and RNA. For example,  
Urata *et al.* showed that the incorporation of an (L)-ribonucleotide into the RNA or (L)-deoxyribonucleotide  
50 into the DNA strand leads to significant destabilization of the duplexes upsetting the Watson–Crick-pairing,<sup>13</sup> and that  
the chirality of homochiral nucleic acids is the primary determinant for their helical sense.<sup>14,15</sup> Moreover, this intrinsic  
chirality at the microscopic level leads to handedness at the macroscopic level.<sup>16,17</sup>

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1 A fundamental discovery in the middle of the last century is  
that electroweak interactions give rise to primarily left-  
spinning electrons during nuclear beta decay.<sup>18</sup> This symmetry  
breaking originates from parity violation (PV) at the quantum  
5 level, correctly predicted in 1956 by Lee and Yang<sup>19</sup> and put  
into a firm quantum theory by Weinberg, Salam and  
Glashow.<sup>20–23</sup> Loosely speaking, our Universe is left-handed  
and mirror-image symmetry is broken in quantum processes,  
that is, the parity operator  $P$  does not commute any more with  
10 the Hamiltonian of the system. This PV effect has been  
measured and calculated very accurately from electroweak  
theory for forbidden atomic transitions confirming the stan-  
dard model in particle physics to high precision.<sup>24–27</sup> From the  
standard model it is also accepted that PV can lead to a small  
15 energy difference between enantiomers of chiral molecules  
( $V_n-A_e$  coupling for the Z-boson exchange between electrons  
and the nucleons),<sup>28,29</sup> although there is no experimental  
verification yet of this distinct symmetry breaking effect.<sup>30,31</sup>  
For more recent reviews on PV effects in chiral molecules see  
20 ref. 32–35.

Yamagata suggested in 1966 that “The asymmetric  
appearance of biomolecules is most naturally explained by  
supposing a slight breakdown of parity in electromagnetic  
interaction and an accumulation of it in a series of chemical  
25 reactions”.<sup>36</sup> While this (perhaps over-enthusiastic) statement  
added a new hypothesis on the origin of biomolecular homo-  
chirality, his next statement “Conversely, it seems that the  
asymmetric existence of biomolecules verifies a parity  
non-conservation in electromagnetic interaction... This  
30 universality, if true, would promise similar results on other  
planets than the Earth” is certainly incorrect. Note also that  
Yamagata discusses the possibility of parity violation in  
*electromagnetic* and not weak interactions. Nevertheless, the  
possibility that PV effects lead to a clear deterministic selection  
35 of one enantiomer over the other has led to an intense activity  
in this field, most notably in early days of electroweak  
quantum chemical investigations<sup>37</sup> by Mason and  
Tranter,<sup>38–43</sup> and later by McDermott.<sup>44,45</sup> However, the  
energy PV energy difference between the enantiomers is  
40 extremely small and on the order of  $10^{-17}$  to  $10^{-16}$  kJ mol<sup>-1</sup>.<sup>46,47</sup>  
Moreover, the preference for one enantiomer over the other  
critically depends on the conformation of the molecule and the  
interaction with other molecules (such as water). For instance,  
a slight rotation of the carboxyl group can easily change  
45 the energetic preference from an (L)-amino acid to the  
(D)-form.<sup>48–51</sup> Moreover, as we learned in the last 10 years,  
the computational results are also critically dependent on the  
method applied.<sup>33,52–57</sup> This led Bonner to the radical conclu-  
sion that “there is no causal connection whatsoever between  
50 parity violation in terrestrial biopolymers and that in nuclear  
processes, and that parity violation inherent in biopolymers is  
in no way the consequence of parity violation at the level of  
fundamental particles”.<sup>10</sup> Nevertheless, PV as a cause of  
biomolecular homochirality cannot be strictly ruled out and  
55 requires more detailed investigations. What can perhaps be  
ruled out, though, is the Salam hypothesis of a PV initiated  
phase transition in (D)-amino acids, as large conversion  
barriers for the racemization in the solid state would comple-  
tely inhibit such a process.<sup>58</sup>

In the last twenty years a number of research groups began  
1 to search for large PV effects in chiral molecules, both on the  
experimental and the theoretical side (*e.g.* see review  
articles<sup>33–35,47,59–61</sup> on this subject). Yet, we are currently not  
5 in the position to design new chiral molecules and estimate PV  
effects by its order of magnitude without resorting to calcula-  
tions. All we currently rely on is the high Z-scaling rule for the  
nuclear spin-independent and the nuclear spin-dependent  
components of the electroweak perturbation.<sup>28,29,37,62–64</sup> A  
10 deeper understanding of the mechanisms of PV in molecular  
systems is very much needed in order to guide experiment  
better. A significant contribution was provided by Hegstrom,  
Rein and Sandars,<sup>37</sup> pointing out the connection to optical  
activity in molecules and introducing the single-center theo-  
rem. A qualitative model of the PV in molecular systems was  
15 proposed by Faglioni and Lazzeretti in a non-relativistic  
framework.<sup>65</sup> In the present work we present a detailed  
analysis of PV in chiral molecules, but now in a 4-component  
relativistic framework, which we believe will help to assist  
further investigations in this new emerging field. In particular,  
20 we perform a decomposition of the molecular expectation  
value in intra- and inter-atomic contributions as well as a  
visualization of the electron chirality density.<sup>66,67</sup> We further-  
more propose a bridge between the relativistic and  
non-relativistic approaches to the calculation of the PV energy  
25 in molecules by exploring the single-center theorem<sup>37</sup> in a  
variational setting.

## 2. Theory 30

### 2.1 Parity violation energy in molecular systems

The parity violating weak interaction in molecules is  
dominated by the exchange of  $Z^0$  bosons between electrons  
and nuclei (quarks). Detailed discussions of the interaction  
35 Hamiltonian relevant for the study of PV in atoms and  
molecules are found in ref. 32, 53 and 68–70. In the following  
we shall simply sketch a derivation highlighting differences  
between the weak and the electromagnetic interaction.

The Hamiltonian describing electromagnetic interactions  
40 may be expressed as<sup>71</sup>

$$H_{\text{int}}^{\text{em}} = - \int j_{\mu} A_{\mu} d\tau, \quad (1)$$

where appears the 4-current  $j_{\mu} = (\mathbf{j}, ic\rho)$  and 4-potential  $A_{\mu} =$   
45  $(\mathbf{A}, i\phi/c)$ . In the following we employ implicit summation  
and, following Sakurai,<sup>72</sup> express 4-vectors using imaginary  $i$   
rather than resorting to a metric. The 4-potential is the  
solution of Maxwell’s equation which in Lorentz gauge  
50 reads

$$\square^2 A_{\mu} = -4\pi(j_{\mu}/c^2), \quad (2)$$

where appears the d’Alembertian  $\square^2 = \nabla^2 - \frac{1}{c^2} \frac{\partial^2}{\partial t^2}$ . Here and  
in the following we employ SI-based atomic units. The  
electromagnetic interaction is mediated by photons.  
55 Anticipating massive vector bosons, we generalize the cor-  
responding equation for the Green’s function (propagator) as

$$(\square^2 - M^2 c^2)G(\mathbf{r}, t; \mathbf{r}', t') = -4\pi\delta(\mathbf{r} - \mathbf{r}') \quad (3)$$

1 which corresponds to the Klein–Gordon equation with a  
 2 source term. The 4D Fourier transformed Green’s function  
 3 is given by

$$4 \quad G(\mathbf{k}, \omega) = \frac{4\pi}{p_\mu p_\mu + M^2 c^2}; \quad p_\mu = (\mathbf{k}, i\omega/c). \quad (4)$$

5 Two limiting cases may now be distinguished: In the case of  
 6 electromagnetic interactions, the vector bosons (photons) do  
 7 not carry mass, and the (retarded) Green’s function  
 8 simplifies to

$$9 \quad G^{(+)}(\mathbf{r}, t; \mathbf{r}', t') = \frac{\delta(t' - t_r)}{|\mathbf{r} - \mathbf{r}'|}; \quad t_r = t - \frac{|\mathbf{r} - \mathbf{r}'|}{c}. \quad (5)$$

10 The electromagnetic interaction Hamiltonian eqn (1) can thus  
 11 be expressed as

$$12 \quad H_{\text{int}}^{\text{em}} = -\frac{1}{c^2} \int \frac{j_\mu(\mathbf{r}, t) j_\mu(\mathbf{r}', t_r)}{|\mathbf{r} - \mathbf{r}'|} d\tau d\tau'. \quad (6)$$

13 In the second limiting case the mass of the vector boson  
 14 overwhelms momentum exchange ( $p_\mu p_\mu$ ) which leads to an  
 15 interaction Hamiltonian on the contact form given by Fermi in  
 16 his explanation of  $\beta$ -decay in 1934<sup>73</sup> (see ref. 74 for an English  
 17 translation). An effective Hamiltonian for the weak interaction  
 18 between electrons and nucleons, mediated by the neutral and  
 19 massive  $Z^0$  boson is accordingly given by

$$20 \quad H_{\text{int}}^{\text{Fermi}} = \frac{4\pi}{M_Z^2 c^4} \int j_\mu^e(\mathbf{r}, t) \left( \sum_i^Z j_{\mu;i}^p(\mathbf{r}, t) + \sum_i^N j_{\mu;i}^n(\mathbf{r}, t) \right) d\tau. \quad (7)$$

21 The mass of the  $Z^0$  boson is 91.1876(21) GeV/ $c^2$ ,<sup>75</sup> that is,  
 22 close to 98 Da.

23 Intriguingly, the weak force is the only interaction mediated  
 24 by massive vector bosons, leading to a contact-like interaction,  
 25 and also the only interaction allowing PV (the short range of  
 26 the nuclear force, despite the strong interaction being  
 27 mediated by massless gluons, is due to its van der Waals  
 28 like character<sup>69</sup>). The electromagnetic currents are vector  
 29 quantities

$$30 \quad j_\mu = -ec\psi^\dagger(\boldsymbol{\alpha}, \mathbf{i})\psi, \quad (8)$$

31 meaning that the spatial component changes sign under  
 32 inversion. They combine, however, to give a parity conserving  
 33 interaction. In contrast, the neutral currents of the weak  
 34 interaction are combinations of vector and axial-vector forms

$$35 \quad j_\mu = \frac{ec}{2 \sin(2\theta_W)} \left[ \underbrace{C_V \psi^\dagger(\boldsymbol{\alpha}, \mathbf{i})\psi}_{(-,+)} - C_A \underbrace{\psi^\dagger(\boldsymbol{\Sigma}, \mathbf{i}\gamma_5)\psi}_{(+,-)} \right], \quad (9)$$

36 where appears the  $\gamma_5$  matrix

$$37 \quad \gamma_5 = \begin{bmatrix} 0_2 & 1_2 \\ 1_2 & 0_2 \end{bmatrix} \quad (10)$$

38 and the Weinberg angle  $\theta_W$  which describes the rotation of  $B^0$   
 39 and  $W^0$  bosons by spontaneous symmetry breaking to form  
 40 photons and  $Z^0$  bosons. The most recent value<sup>76</sup> is  $\sin^2\theta_W =$   
 41 0.2397(13) (in the present work we have employed  $\sin^2\theta_W =$   
 42 0.2319). The axial-vector coupling coefficients for the neutron,  
 43 proton and electron are  $C_A^n = -C_A^p = C_A^e = -1$ , respectively.

44 Likewise, the vector coupling coefficients are  $C_V^n = -1$  and  
 45  $C_V^p = -C_V^e = 1 - 4\sin^2\theta_W$ . For the nucleon currents, a non-  
 relativistic approximation is employed,<sup>70</sup> setting the small  
 components to zero, such that only parity conserving parts  
 of the currents are retained.

The parentheses below the underbraces in eqn (9) indicate  
 the behaviour of the space and time components under  
 inversion. Combining the space components of the nucleon  
 axial-vector currents and the electron vector current and  
 ( $A_n - V_e$  coupling) leads to a nuclear spin-dependent inter-  
 action Hamiltonian which has been employed in theoretical  
 studies of PV in NMR spectra.<sup>64,77–87</sup> In the present work,  
 however, we focus exclusively on the PV nuclear spin-  
 independent interaction Hamiltonian which is obtained by  
 combining the time components of the nucleon vector currents  
 and the electron axial-vector current ( $V_n - A_e$  coupling). At the  
 4-component relativistic level it is given by

$$46 \quad H_{\text{PV}} = \sum_A H_{\text{PV}}^A; \quad H_{\text{PV}}^A = \frac{G_F}{2\sqrt{2}} Q_w^A \sum_i \gamma_5(i) \rho^A(\mathbf{r}_i), \quad (11)$$

47 in which appears the weak nuclear charge

$$48 \quad Q_w^A = Z^A C_V^p + N^A C_V^n = Z^A (1 - 4\sin^2\theta_W) - N^A \quad (12)$$

49 with  $Z^A$  and  $N^A$  representing the number of protons and  
 50 neutrons in nucleus  $A$ . The presence of normalized nuclear  
 charge densities  $\rho^A$  restricts integration over electron  
 coordinates  $\mathbf{r}_i$  to nuclear regions and thereby provides a  
 natural partitioning of the operator in atomic contributions  
 $\hat{H}_{\text{PV}}^A$ . The Fermi coupling constant

$$51 \quad G_F = 2.22255 \times 10^{-14} E_h a_0^3$$

$$52 \quad \approx 2\sqrt{2} \left( \frac{4\pi\hbar^2}{4\pi\epsilon_0 M_Z^2 c^4} \right) \left( \frac{ec}{2 \sin(2\theta_W)} \right)^2 \quad (13)$$

53 implies that the interaction is truly weak (the right-hand side  
 54 formula is only approximate in that the cited value also  
 contains radiative corrections). The parity violating energy  
 $E_{\text{PV}}$  can accordingly not be simply extracted from the total  
 electronic energy of a molecule in standard floating point  
 calculations and should rather be obtained in the framework  
 of perturbation theory. In a relativistic framework the parity  
 violating energy can be calculated as an expectation value

$$55 \quad E_{\text{PV}} = \sum_A \langle H_{\text{PV}}^A \rangle. \quad (14)$$

56 In a non-relativistic (NR) framework the PV Hamiltonian  
 reduces to

$$57 \quad H_{\text{PV};\text{NR}} = \sum_A H_{\text{PV};\text{NR}}^A; \quad (15)$$

$$58 \quad H_{\text{PV};\text{NR}}^A = \frac{G_F}{4mc\sqrt{2}} Q_w^A \sum_i \{ \boldsymbol{\sigma}_i \cdot \mathbf{p}, \rho^A(\mathbf{r}_i) \}_{+}.$$

59 This purely imaginary operator gives zero expectation value  
 60 for NR (real) wave functions. In a NR framework the parity  
 violating energy is therefore calculated as a static linear  
 response function<sup>52,54</sup>

$$61 \quad E_{\text{PV};\text{NR}} = \sum_{AB} \langle \langle H_{\text{PV};\text{NR}}^A; H_{\text{SO}}^B \rangle \rangle_0 \quad (16)$$

1 (or approximated by a sum-over-states expression) by  
 2 coupling the NR PV operator with an operator describing  
 3 spin-orbit (SO) coupling contributions from individual  
 4 centers. A  $Z_{\mathcal{A}}^5$  scaling law has been deduced for  $E_{\text{PV}}^A$   
 5 in molecular systems, based on both the relativistic,<sup>28</sup> eqn (14),  
 and NR<sup>29,37,62</sup> expressions, eqn (16), for the PV energy.

## 2.2 Projection analysis of expectation values

6 At the 4-component relativistic Hartree-Fock (HF) and  
 7 Kohn-Sham (KS) level of theory the PV energy  $E_{\text{PV}}$  is  
 8 straightforwardly calculated as an expectation value. Further  
 9 insight can be obtained by subjecting the expectation value to  
 10 projection analysis. Consider the expectation value of some  
 11 operator  $\hat{\Omega}$  in the HF or KS approach

$$12 \quad \Omega = \langle \Psi | \hat{\Omega} | \Psi \rangle = \sum_i^{N_{\text{occ}}} \langle \psi_i | \hat{\Omega} | \psi_i \rangle. \quad (17)$$

13 We proceed, in the spirit of for instance the Townes-Dailey  
 14 model for nuclear quadrupole coupling constants,<sup>88</sup> by  
 15 expanding the molecular orbitals (MO)  $\psi_i$  in the atomic  
 16 orbitals  $\psi_j^A$  of the constituent atoms

$$17 \quad |\psi_i\rangle = \sum_{Aj} |\psi_j^A\rangle c_{ji}^A + |\psi_i^{\text{pol}}\rangle, \quad (18)$$

18 where the index  $A$  labels the individual atoms (or, more  
 19 generally, individual fragments). Typically only the occupied  
 20 fragment orbitals will be employed, so whatever part of the  
 21 molecular orbitals which is not spanned by the selected set of  
 22 fragment orbitals is denoted the polarization contribution  
 23  $\psi_i^{\text{pol}}$ , which by construction is orthogonal to the fragment  
 24 orbitals. Projecting eqn (18) from the left by any fragment  
 25 orbital  $\psi_k^B$  gives a system of linear equations

$$26 \quad \sum_{Aj} \langle \psi_k^B | \psi_j^A \rangle c_{ji}^A = \langle \psi_k^B | \psi_i \rangle, \quad (19)$$

27 which determines the expansion coefficients  $c_{ji}^A$ .

28 Inserting the MO expansion, eqn (18) into the expectation  
 29 value, eqn (17) we obtain several terms

$$30 \quad \langle \Psi | \hat{\Omega} | \Psi \rangle = \underbrace{\sum_A \sum_{ijk} \langle \psi_j^A | \hat{\Omega} | \psi_k^A \rangle c_{ji}^{A*} c_{ki}^A}_{\text{intra-atomic}} \\
 31 \quad + \underbrace{\sum_{A \neq B} \sum_{ijk} \langle \psi_j^A | \hat{\Omega} | \psi_k^B \rangle c_{ji}^{A*} c_{ki}^B}_{\text{inter-atomic}} \\
 32 \quad + (\text{pol}), \quad (20)$$

33 which are conveniently divided into three classes: (i) intra-  
 34 atomic contributions involve only atomic orbitals from the  
 35 same center, (ii) inter-atomic contributions involve atomic  
 36 orbitals from two centers and (iii) polarization contributions  
 37 involve  $\psi_i^{\text{pol}}$ . The usefulness of the projection analysis deterio-  
 38 rates with increasing importance of the latter contributions,  
 39 since they blur the distinction between intra- and inter-atomic  
 40 contributions. Setting  $\hat{\Omega}$  to the identity operator gives the  
 41 starting point for a population analysis<sup>89</sup> equivalent to that  
 42 of Mulliken, but cured of the strong basis-set dependence

43 which renders Mulliken population analysis at best ambiguous  
 44 in many cases.

## 3. Computational details

45 All calculations have been carried out with a development  
 46 version of the DIRAC program package.<sup>90</sup> For the series  
 47  $\text{H}_2\text{X}_2$  ( $X = \text{O}, \text{S}, \text{Se}, \text{Te}, \text{Po}$ ) we have carried out 4-component  
 48 relativistic HF and KS calculations based on the Dirac-  
 49 Coulomb (DC) Hamiltonian. We have employed the density  
 50 functionals LDA (SVWN5),<sup>91,92</sup> BLYP,<sup>93-95</sup> and B3LYP,<sup>96,97</sup>  
 51 representative of the three first rungs of the Jacob's ladder of  
 52 density functional approximations.<sup>98</sup> We have adopted the  
 53 even-tempered basis sets and geometric parameters of ref. 63  
 54 with the H-X-X-H dihedral angle defined to correspond to  
 55 the ( $P$ )-enantiomer. The small component basis sets were  
 56 generated by restricted kinetic balance imposed in the  
 57 canonical orthonormalization step.<sup>99</sup> The two-electron  
 58 Coulomb integrals (SS|SS), involving only the small compo-  
 59 nents, were neglected in all calculations and the energy  
 60 corrected by a simple point-charge model.<sup>100</sup> For the projec-  
 61 tion analysis atomic orbitals for the constituent atoms were  
 62 precalculated in their own atomic basis based on the ground  
 63 state electronic configurations. We employed average SCF in  
 64 the case of HF and fractional occupation in the case of KS.

65 For the CHBrClF molecule we carried out 4- and  
 66 2-component relativistic HF calculations, the latter based on  
 67 the one-step, exact two-component (X2C) relativistic  
 68 Hamiltonian<sup>101</sup> in spin-orbit free form. We employed the  
 69 AMFI code<sup>102,103</sup> to provide one- and two-electron spin-orbit  
 70 corrections, see ref. 104 for more details. For comparative  
 71 purposes with respect to the 4-component DC Hamiltonian,  
 72 the two-electron SO terms of the AMFI operator contain only  
 73 the spin-same-orbit part. A specificity of our interface to  
 74 AMFI is that it allows the selection of nuclei for which  
 75 spin-orbit corrections are supplied. Basis sets and geometric  
 76 parameters as well as the scalar relativistic CCSD(T) potential  
 77 curve along the C-F stretching mode were taken from ref. 105.  
 78 The PV shift was calculated by double perturbation theory<sup>56</sup>

$$79 \quad \Delta P_{0 \rightarrow 1} = 2(P_1 - P_0) \approx \frac{\hbar}{\mu\omega_e} \left[ P^{[2]} - \frac{1}{\mu\omega_e^2} P^{[1]} V^{[3]} \right] \quad (21)$$

80 where  $V^{[n]}$  and  $P^{[n]}$  are the MacLaurin expansion coefficients of  
 81 the potential and property curves along the normal coordinate  
 82  $q$ , respectively.  $P_n = \langle n | P(q) | n \rangle$  is the value of the property, in  
 83 this case  $E_{\text{PV}}$ , in vibrational state  $n$  of the selected normal  
 84 mode and  $\mu$  is the corresponding reduced mass.

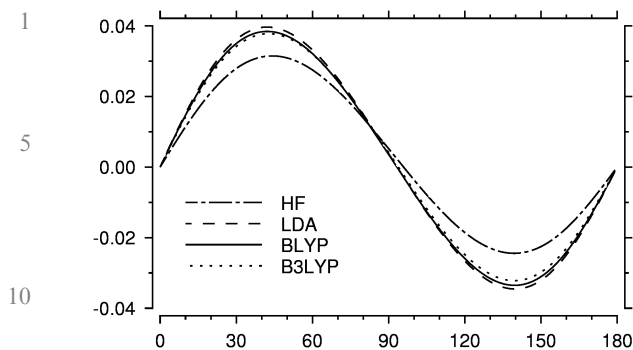
85 Unless otherwise stated, a Gaussian charge distribution has  
 86 been chosen as the nuclear model using the recommended  
 87 values of ref. 106. All basis sets are used in the  
 88 uncontracted form.

## 4. Results and discussion

### 4.1 Projection analysis of the PV expectation value

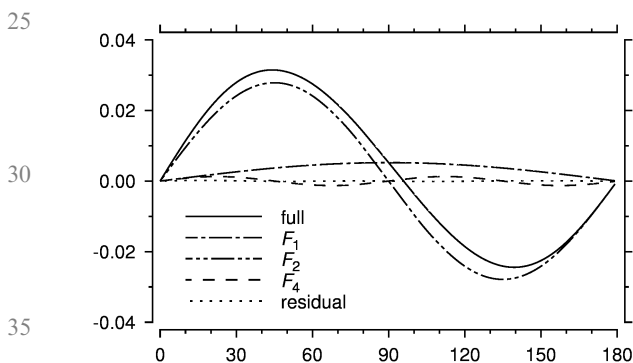
89 The PV energy can be written as a sum of atomic contributions

$$90 \quad E_{\text{PV}} = \sum_A E_{\text{PV}}^A = \frac{G_{\text{F}}}{2\sqrt{2}} \sum_A Q_{\text{w}}^A M_{\text{PV}}^A. \quad (22)$$



**Fig. 1** Reduced contribution  $M_{PV}^{Te}$  for  $H_2Te_2$  as a function of dihedral angle. All values are in atomic units.

In the following we will concentrate on the reduced contributions  $M_{PV}^X = \langle \Psi | \gamma_5 \rho^X | \Psi \rangle$ . In Fig. 1 we show the reduced contribution  $M_{PV}^{Te}$  of a Te atom in  $H_2Te_2$  as a function of the H–Te–Te–H dihedral angle  $\varphi$ , calculated at the HF level as well as with three different density functionals. We observe the characteristic sigmoidal curve found for  $H_2X_2$  systems by previous authors<sup>40,52,53,55,63,64,83,107,108</sup> and which is also found when optical activity is plotted as a function of dihedral angle



**Fig. 2** Fourier decomposition of the reduced contribution  $M_{PV}^{Te}$  for  $H_2Te_2$  calculated at the HF level as a function of dihedral angle. All values in atomic units.

**Table 1** Fourier component  $F_2$  of the reduced contribution  $M_{PV}^X$  to the PV energy for the series  $H_2X_2$  ( $X = O, S, Se, Te, Po$ ). All values in atomic units. The square brackets denote powers of 10

	$H_2O_2$	$H_2S_2$	$H_2Se_2$	$H_2Te_2$	$H_2Po_2$
HF	6.729[−6]	7.435[−5]	3.163[−3]	2.787[−2]	7.955[−1]
LDA	7.441[−6]	9.522[−5]	4.335[−3]	3.697[−2]	7.444[−1]
BLYP	7.238[−6]	9.554[−5]	4.204[−3]	3.585[−2]	7.5044[−1]
B3LYP	7.163[−6]	9.162[−5]	4.055[−3]	3.488[−2]	7.730[−1]

**Table 2** Summary of projection analysis of the reduced contribution  $M_{PV}^X$  to the PV energy, calculated at the HF level, for the series  $H_2X_2$  ( $X = O, S, Se, Te, Po$ ). All values are in atomic units. The square brackets denote powers of 10

	$H_2O_2$	$H_2S_2$	$H_2Se_2$	$H_2Te_2$	$H_2Po_2$
Full	6.492[−6]	7.435[−5]	3.163[−3]	2.787[−2]	7.955[−1]
Intra( $X$ )	5.879[−6]	6.876[−5]	2.717[−3]	2.459[−2]	6.334[−1]
Inter	3.060[−7]	−1.212[−6]	3.074[−5]	−1.233[−4]	9.569[−4]
Polar	3.066[−7]	6.798[−6]	4.152[−4]	3.407[−3]	1.611[−1]
$M_{PV}^X(ns_{1/2};np_{1/2})$	8.819[−6]	8.548[−5]	3.773[−3]	3.216[−2]	7.728[−1]

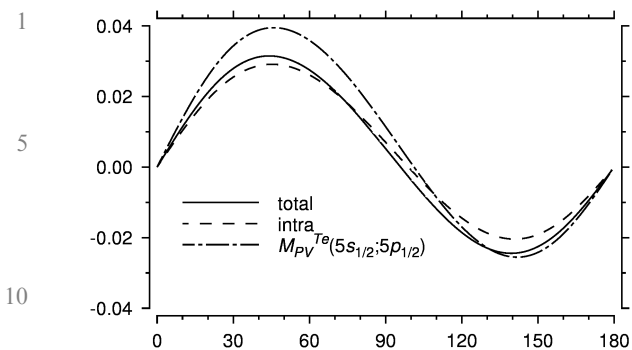
for the same systems (see for instance ref. 109). The  $M_{PV}^X$  is zero by symmetry for dihedral angles  $0^\circ$  and  $180^\circ$ , whereas the crossing of the abscissa in the vicinity of the dihedral angle  $90^\circ$  occurs for a chiral conformation and therefore bars the use of the PV energy  $E_{PV}$  as a chirality measure, as is the case for any pseudoscalar function.<sup>110,111</sup> We note that the four curves traced in Fig. 1 are qualitatively the same, but the three density functionals distinguish themselves from HF by giving more pronounced maxima around  $45^\circ$  and minima around  $135^\circ$ .

A compact representation of the sigmoidal curves is provided by Fourier decomposition

$$M_{PV}^X(\varphi) = \sum_{n=1}^{\infty} F_n^X \sin(n\varphi). \quad (23)$$

In Fig. 2 we trace the Fourier components of the reduced contribution  $M_{PV}^{Te}$  of a Te atom in  $H_2Te_2$  as a function of dihedral angle  $\varphi$ , calculated at the HF level. The curve is clearly dominated by the  $F_2$  component, whereas the  $F_1$  is the prime responsible for shifting the crossing of the abscissa off from dihedral angle  $90^\circ$ . In Table 1 we give the  $F_2$  component of  $M_{PV}^X$  for the series  $H_2X_2$  ( $X = O, S, Se, Te, Po$ ). One clearly sees how the values obtained with the three density functionals LDA, BLYP and B3LYP tend to cluster away from the HF value, although the distinction becomes less pronounced for the heavier systems. One also observes that the PV energy increases by orders of magnitude for the heavier systems. We will explore the scaling of the PV energy in more detail later in this section.

In order to obtain a deeper understanding of parity violation in molecular systems, we will subject the reduced contributions  $M_{PV}^X$  to the projection analysis of expectation values introduced in section 2.2. Our results are summarized in Table 2 and illustrated for  $H_2Te_2$  in Fig. 3. All numbers refer to HF calculations, but the conclusions are valid for the KS level as well. The projection analysis clearly shows that the reduced contribution  $M_{PV}^X$  is completely dominated by intra-atomic contributions from the same center ( $X$ ), although some uncertainty is introduced by the polarization contribution, which rises rather steadily from 4.7% to 20.3% through the series. All other intra-atomic contributions as well as the inter-atomic contributions are completely negligible. For  $H_2Po_2$  we find that the inclusion of the virtual  $7s_{1/2}$  orbital in the projection analysis reduces the polarization contribution from 20.3% to below 6.0%. We believe that this is due to the combined effect of the increasing polarisability of atoms when descending a row in the periodic table and the significant relativistic stabilization of the  $7s_{1/2}$  orbital. We find,



**Fig. 3** Projection analysis of the reduced contribution  $M_{PV}^{T\kappa}$  for  $H_2Te_2$  calculated at the HF level as a function of dihedral angle, see text for more details. All values in atomic units.

though, that the contribution of the  $7s_{1/2}$  orbital to the electronic configuration of the polonium atom in the molecule is negligible.

The atomic nature of the reduced contribution  $M_{PV}^X$  allows us to deepen the analysis by expressing it in terms of atomic orbitals from the same center  $X$ . We write the 4-component relativistic atomic orbitals as

$$\psi = \begin{bmatrix} \psi^{Lz} \\ \psi^{L\beta} \\ \psi^{Sx} \\ \psi^{S\beta} \end{bmatrix} = \begin{bmatrix} R^L(r)\chi_{\kappa, m_j}(\theta, \phi) \\ iR^S(r)\chi_{-\kappa, m_j}(\theta, \phi) \end{bmatrix}, \quad (24)$$

where  $R^L$  and  $R^S$  are the large and small radial functions, respectively and  $\chi_{\kappa, m_j}$  the 2-component angular functions. Our analysis so far shows that  $M_{PV}^X$  is very well approximated by

$$\begin{aligned} M_{PV}^X &\approx \sum_{ij} \langle \psi_i^X | \gamma_5 \rho^X | \psi_j^X \rangle \\ &= i \{ \langle R_i^{L:X} | \rho^X | R_j^{S:X} \rangle_r \langle \chi_{\kappa_i, m_i}^X | \chi_{-\kappa_j, -m_j}^X \rangle_{\theta, \phi} \\ &\quad - \langle R_i^{S:X} | \rho^X | R_j^{L:X} \rangle_r \langle \chi_{-\kappa_i, m_i}^X | \chi_{\kappa_j, -m_j}^X \rangle_{\theta, \phi} \}, \end{aligned} \quad (25)$$

where subscripts  $r$  and  $(\theta, \phi)$  refer to radial and angular integration, respectively. From the angular integration we obtain the restrictions  $\kappa_i = -\kappa_j$  and  $m_i = m_j$ . These already imply that the expectation value is strictly zero for an unpolarized atom. Further insight is obtained from the radial integration. Due to the extremely local nature of the nuclear

charge distribution, it is sufficient to consider small  $r$  solutions of the radial functions<sup>112,113</sup>

$$R^L = r^{\gamma-1}(p_0 + p_1 r + p_2 r^2 + \dots) \quad (26)$$

$$R^S = r^{\gamma-1}(q_0 + q_1 r + q_2 r^2 + \dots). \quad (27)$$

For a point nucleus  $\gamma = +\sqrt{\kappa^2 - Z^2/c^2} < |\kappa|$  such that there is a weak singularity at the nucleus for  $|\kappa| = 1$ . This implies that the only contributions to  $M_{PV}^X$  arises from the mixing of  $s_{1/2}$  and  $p_{1/2}$  orbitals on the same center  $X$ . However, further contributions are allowed if we consider the more realistic model of extended nuclei. We then have  $\gamma = |\kappa|$  and no singularities. For  $\kappa < 0$  we have  $q_0 = p_1 = 0$ , whereas for  $\kappa > 0$  the conditions  $p_0 = q_1 = 0$  hold. Again only  $s_{1/2}$  and  $p_{1/2}$  orbitals have non-zero contributions at the origin. In particular, for  $s_{1/2}$  orbitals  $R^L = p_0$  and  $R^S = 0$ , where  $p_0$  is determined from the normalization of the orbital. Likewise, for  $p_{1/2}$  orbitals  $R^L = 0$  and  $R^S = q_0$  where  $q_0$  is determined from normalization. However, the finite extent of the nuclear charge distribution means that contributions from any pair of atomic orbitals with same  $j$ , but opposite  $\kappa$  is now allowed. These findings are illustrated in Tables 3 and 4 where we give selected matrix elements  $\langle \psi_i^{Po} | \gamma_5 \rho^{Po} | \psi_j^{Po} \rangle$  for the polonium atom using a Gaussian and a point charge nuclear model. In Table 3 such elements are given between  $s_{1/2}$  and  $p_{1/2}$  orbitals. It can be seen that the difference between the values of the matrix elements obtained with the two different models for the nuclear charge distribution are rather small. One can also observe a difference of orders of magnitude of such matrix elements when going from core to valence orbitals. In Table 4 such elements are given between  $p_{3/2}$  and  $d_{3/2}$  orbitals. With a point nucleus such matrix elements are indeed zero (to machine precision), whereas non-zero values are found with an extended (Gaussian) nucleus, albeit significantly smaller than the matrix elements involving  $s_{1/2}$  and  $p_{1/2}$ . Although Kriplovich<sup>114</sup> points out that a finite nucleus does result in mixing of orbitals other than  $s_{1/2}$  and  $p_{1/2}$ , we are not aware of studies of atomic PV that explore the modification of selection rules by the combination of PV and the finite size of the nucleus demonstrated above.

The picture that emerges from our analysis so far is that the PV energy arises from mixing of atomic orbitals, in particular  $s_{1/2}$  and  $p_{1/2}$  in the presence of a chiral molecular field. This is in line with previous theoretical considerations.<sup>37,40,68,114,115</sup>

**Table 3** Matrix elements  $\langle \psi_i^{Po} | \gamma_5 \rho^{Po} | \psi_j^{Po} \rangle$  between  $s_{1/2}$  and  $p_{1/2}$  orbitals, calculated at the HF level. Numbers are given for a Gaussian nuclear model as well as a point nucleus model, the latter in parenthesis. All values are in atomic units. The Square brackets denote powers of 10

	2p <sub>1/2</sub>	3p <sub>1/2</sub>	4p <sub>1/2</sub>	5p <sub>1/2</sub>	6p <sub>1/2</sub>
1s <sub>1/2</sub>	1.385[+5] (1.551[+5])	-7.082[+4] (-7.942[+4])	3.583[+4] (4.018[+4])	1.573[+4] (1.764[+4])	-4.904[+3] (-5.499[+3])
2s <sub>1/2</sub>	5.486[+4] (6.148[+4])	-2.806[+4] (-3.148[+4])	1.419[+4] (1.593[+4])	6.233[+3] (6.992[+3])	-1.943[+3] (-2.180[+3])
3s <sub>1/2</sub>	-2.646[+4] (-2.966[+4])	1.354[+4] (1.519[+4])	-6.847[+3] (-7.683[+3])	-3.007[+3] (-3.373[+3])	9.372[+2] (1.051[+3])
4s <sub>1/2</sub>	1.345[+4] (1.508[+4])	-6.881[+3] (-7.720[+3])	3.481[+3] (3.906[+3])	1.529[+3] (1.715[+3])	-4.765[+2] (-5.346[+2])
5s <sub>1/2</sub>	-6.182[+3] (-6.929[+3])	3.162[+3] (3.548[+3])	-1.600[+3] (-1.795[+3])	-7.024[+2] (-7.881[+2])	2.190[+2] (2.457[+2])
6s <sub>1/2</sub>	2.260[+3] (2.534[+3])	-1.156[+3] (-1.297[+3])	5.489[+2] (6.562[+2])	2.568[+2] (2.881[+2])	-8.006[+1] (-8.982[+1])



**Table 4** Matrix elements  $\langle \psi_i^{\text{Po}} | \gamma_5 \rho^{\text{Po}} | \psi_j^{\text{Po}} \rangle$  between  $p_{3/2}$  and  $d_{3/2}$  orbitals, calculated at the HF level. Numbers are given for a Gaussian nuclear model as well as a point nucleus model, the latter in parenthesis. All values are in atomic units. The square brackets denote powers of 10

	$3d_{3/2}$	$4d_{3/2}$	$5d_{3/2}$
$2p_{3/2}$	-1.185[-02] (-4.598[-21])	6.311[-03] (2.403[-22])	-2.348[-03] (4.869[-20])
$3p_{3/2}$	6.277[-03] (-2.365[-20])	-3.343[-03] (1.2361[-21])	1.244[-03] (2.504[-19])
$4p_{3/2}$	-3.193[-03] (1.052[-20])	1.701[-03] (-5.500[-22])	-6.330[-04] (-1.114[-19])
$5p_{3/2}$	1.380[-03] (-2.491[-19])	-7.348[-04] (1.302[-20])	2.734[-04] (2.638[-18])
$6p_{3/2}$	3.989[-04] (3.287[-19])	-2.125[-04] (-1.718[-20])	7.907[-05] (-3.480[-18])

The novelty of our approach is that we have developed an analysis tool which allows us to study these effects in a detailed and quantitative manner for any molecular system.

In atoms such mixing leads to non-zero transition amplitudes for parity-forbidden electric dipole transitions such as the  $6S_{1/2} \rightarrow 7S_{1/2}$  transition in caesium which has been observed by experiment.<sup>116,117</sup> Clearly  $s_{1/2}$  and  $p_{1/2}$  orbitals already mix in the  $X_2$  moiety for which  $E_{\text{PV}}$  is strictly zero, so the *nature* of this mixing has to be considered in more detail.

We note that according to eqn (25) the inter-atomic matrix elements  $\langle \psi_i^X | \gamma_5 \rho^X | \psi_j^X \rangle$  should be purely imaginary, whereas the actual elements given in Tables 3 and 4 are real. This follows from a specific choice of phase, which will be important in the following. Relativistic atomic orbitals are usually given as in eqn (24) with a purely imaginary phase on the small component to assure real radial functions, but this is not the only possibility. We will introduce a choice of phase that to largest possible extent leads to real coefficients when mixing atomic orbitals into molecular ones. 4-component relativistic orbitals (4-spinors) span fermion irreps, that is, the extra irreps of the double groups. However, as pointed out in ref. 118, the real and imaginary parts of each component span boson irreps, that is, the irreps of single point groups. The phase of atomic orbitals is fixed to within a real phase by insisting on a specific symmetry structure of *gerade* and *ungerade* orbitals

$$\psi_g = \begin{bmatrix} (\Gamma_0, \Gamma_{R_z}) \\ (\Gamma_{R_y}, \Gamma_{R_x}) \\ (\Gamma_{xyz}, \Gamma_z) \\ (\Gamma_y, \Gamma_x) \end{bmatrix}; \quad \psi_u = \begin{bmatrix} (\Gamma_{xyz}, \Gamma_z) \\ (\Gamma_y, \Gamma_x) \\ (\Gamma_0, \Gamma_{R_z}) \\ (\Gamma_{R_y}, \Gamma_{R_x}) \end{bmatrix} = \Gamma_{xyz} \otimes \psi_g. \quad (28)$$

In the above expression  $\Gamma_0$  refers to the totally symmetric irrep,  $\Gamma_q$  and  $\Gamma_{R_q}$  ( $q = x, y, z$ ) to the symmetry of the coordinates and rotations, respectively, and finally  $\Gamma_{xyz}$  to the symmetry of the function  $xyz$ , which is the symmetry of the  $\gamma_5$  matrix. In fact, the phases are fixed by selecting  $\Gamma_0$  and  $\Gamma_{xyz}$  for *gerade* and *ungerade* orbitals, respectively, as the symmetry of the real part of the  $L_x$  component. With this choice of phase,  $s_{1/2}$  will have the structure as given in eqn (24), but for  $p_{1/2}$  orbitals the imaginary phase is moved to the *large*

component. The matrix elements between  $s_{1/2}$  and  $p_{1/2}$  orbitals now become purely real, that is,

$$\langle s_{1/2}^X | \gamma_5 \rho^X | p_{1/2}^X \rangle = \langle R_s^{L: X} | \rho^X | R_p^{S: X} \rangle_r + \langle R_s^{S: X} | \rho^X | R_p^{L: X} \rangle_r. \quad (29)$$

Consider now the mixing of  $s_{1/2}$  and  $p_{1/2}$  orbitals on the same center  $X$  when atomic symmetry is broken in a molecule

$$\begin{bmatrix} \psi_+ \\ \psi_- \end{bmatrix} = \begin{bmatrix} \cos \theta & e^{i\phi} \sin \theta \\ -e^{-i\phi} \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} s_{1/2}^X \\ p_{1/2}^X \end{bmatrix}; \quad \theta \in \left[ -\frac{\pi}{2}, \frac{\pi}{2} \right]. \quad (30)$$

The generally unitary transformation has been selected such that the resulting function  $\psi_+$  has a real coefficient  $\cos \theta$  for the  $s_{1/2}$  orbital. We then find

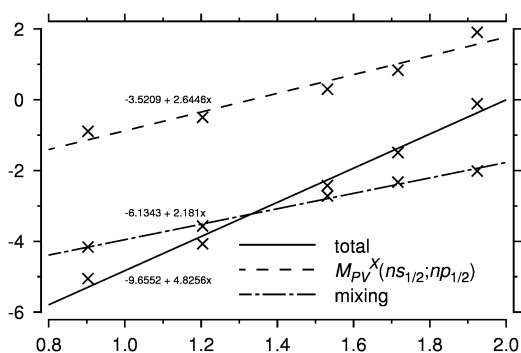
$$\begin{aligned} \langle \psi_+ | \gamma_5 \rho^X | \psi_+ \rangle &= 2 \cos \theta \cos \phi \sin \theta \langle s_{1/2}^X | \gamma_5 \rho^X | p_{1/2}^X \rangle \\ &= -\langle \psi_- | \gamma_5 \rho^X | \psi_- \rangle. \end{aligned} \quad (31)$$

From the above result we can draw two conclusions: (i) The presence of the factor  $\cos \phi$  in the above expression shows that a non-zero contribution is only obtained when the mixing coefficient of the  $p_{1/2}$  orbital has a *real* component. (ii)  $\psi_+$  and  $\psi_-$  must contribute with unequal weight in the molecular wave function, otherwise they cancel each other. The latter conclusion explains why core orbitals generally do not contribute to the PV energy,<sup>63</sup> although Tables 3 and 4 show that their matrix elements are significantly larger than matrix elements over valence orbitals. Indeed, Fig. 3 and Table 2 clearly show that the reduced contribution  $M_{\text{PV}}^X$  is completely dominated by the mixing of *valence*  $s_{1/2}$  and  $p_{1/2}$  orbitals on the same center  $X$ .

The above analysis shows that for the series  $\text{H}_2\text{X}_2$  ( $X = \text{O}, \text{S}, \text{Se}, \text{Te}, \text{Po}$ ) the reduced contribution is very well approximated by

$$M_{\text{PV}}^X \approx \underbrace{\langle ns_{1/2}^X | \gamma_5 \rho^X | np_{1/2}^X \rangle}_{\text{total}} \overbrace{2 \text{Re} \left[ \sum_i c(ns_{1/2}^A)_i^* c(np_{1/2}^A)_i \right]}^{\text{mixing}}, \quad (32)$$

where the index  $i$  sums over molecular orbitals. In Fig. 4 we give a log-log plot showing the scaling behaviour of the



**Fig. 4** Log-log plot showing the scaling of reduced contribution  $M_{\text{PV}}^X$  as a function of nuclear charge  $Z$  along the series  $\text{H}_2\text{X}_2$  ( $X = \text{O}, \text{S}, \text{Se}, \text{Te}, \text{Po}$ ) ( $\log(M_{\text{PV}}^X)$  vs.  $\log(Z)$ ). The total contribution is split into an atomic integral  $\langle ns_{1/2}^X | \gamma_5 \rho^X | np_{1/2}^X \rangle$  weighted by the mixing coefficients of the atomic orbitals in the molecule as in eqn (32).

1 reduced contribution along the series. Although not strictly  
 linear, it can be seen that the atomic matrix element  
 $\langle ns_{1/2}^X | \gamma_5 \rho^X | np_{1/2}^X \rangle$  scales approximately as  $Z^{2.6}$ , thus confirming  
 the  $Z^3$  scaling law proposed by Bouchiat and Bouchiat.<sup>68</sup> The  
 mixing coefficient, which we from eqn (16) can associate with  
 spin-orbit coupling from the neighbouring centers, scales as  
 $Z^{2.1}$ , thus giving an overall scaling  $Z^{4.8}$ , in agreement with  
 previous estimates.<sup>28,29,37,62</sup>

## 10 4.2 Visualization of the electron chirality density in the 4-component relativistic framework

In section 2.2 the reduced contributions

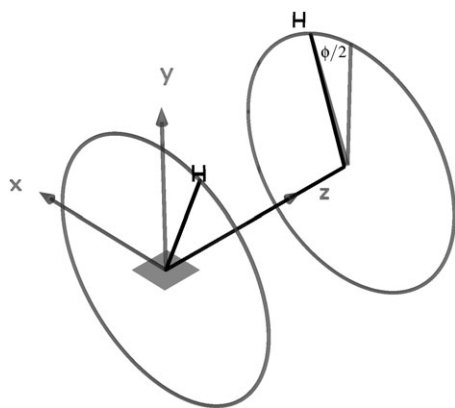
$$15 \quad M_{\text{PV}}^X = \sum_i^{N_{\text{occ}}} \langle \psi_i | \gamma_5 \rho^X | \psi_i \rangle \quad (33)$$

have been studied by means of a projection analysis. The  
 integrals  $M_{\text{PV}}^X$  have been shown to exhibit an intriguing  
 dependence on the H-X-X-H dihedral angle through the  
 changing chiral environment probed at the atomic centers  $X$   
 and in their immediate vicinity by the normalized nuclear  
 charge distribution  $\rho^X$ . In this section we wish to visualize  
 this dependence by defining a density  $\gamma_5(\mathbf{r})$ , such that

$$25 \quad \int \gamma_5(\mathbf{r}) \rho^X(\mathbf{r}) d\mathbf{r} \equiv \sum_i^{N_{\text{occ}}} \langle \psi_i | \gamma_5 \rho^X | \psi_i \rangle. \quad (34)$$

If we consider the atomic centers  $X$  fixed in space during the  
 variation of the H-X-X-H dihedral angle (Fig. 5) then the  
 geometry dependence of  $M_{\text{PV}}^X$  is carried by the  $\gamma_5(\mathbf{r})$  density  
 alone, whereas the probing  $\rho^X(\mathbf{r})$  is independent of the  
 positions of other atoms and therefore independent of the  
 chiral environment created by these centers.

35 The density  $\gamma_5(\mathbf{r})$  has been introduced in the NR framework  
 by Hegstrom<sup>66,67</sup> under the name electron chirality density, a  
 name which we will adopt also in our work. In the  
 4-component relativistic theory the density  $\gamma_5(\mathbf{r})$  takes a



55 **Fig. 5** Orientation of the  $\text{H}_2\text{Te}_2$  molecule employed in the  
 visualization of the electron chirality density (Fig. 7). The  
 dihedral angle H-Te-Te-H is twice the angle between the Te-Te-H  
 plane and the  $yz$  plane. The electron chirality density is plotted in the  
 $xz$  plane around one Te atom (gray rectangle; size of this rectangle is not  
 proportional to the bond distances).

particularly simple form given the structure of the  $\gamma_5$  Dirac  
 matrix, eqn (10) and can be evaluated in AO basis according to

$$\gamma_5(\mathbf{r}) = \sum_{\kappa\lambda} [\chi_\kappa^\dagger(\mathbf{r}) \chi_\lambda(\mathbf{r}) D_{\lambda\kappa} + \chi_\lambda^\dagger(\mathbf{r}) \chi_\kappa(\mathbf{r}) D_{\kappa\lambda}], \quad (35)$$

where the indices  $\kappa$  and  $\lambda$  map large and small component  
 basis functions  $\chi$ , respectively, and  $D_{\lambda\kappa}$  represents elements of  
 the AO density matrix. In contrast to the NR theory where SO  
 coupling needs to be introduced perturbationally to yield  
 nonzero  $\gamma_5(\mathbf{r})$ , we can work with the *unperturbed* SCF density  
 matrix since SO coupling is introduced variationally from the  
 start.

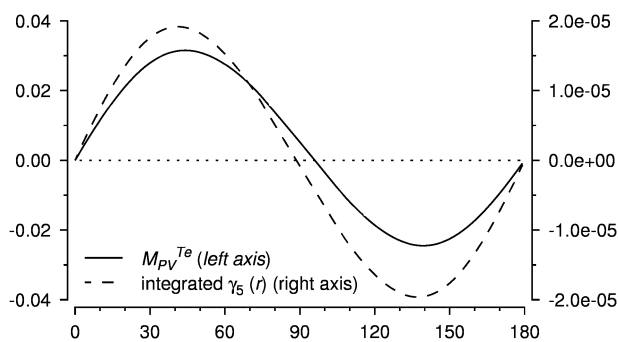
The significance of the electron chirality density is the fact  
 that an understanding and modeling of the electron chirality  
 density depending on the molecular building blocks and their  
 relative geometry and orientation would allow the modeling of  
 the PV expectation value. The relationship between  $\gamma_5(\mathbf{r})$  and  
 the PV expectation value is particularly simple when using the  
 point charge (PC) nuclear model:

$$20 \quad E_{\text{PV}}^{\text{PC}} = \frac{G_{\text{F}}}{2\sqrt{2}} \sum_A Q_{\text{w}}^A \int \gamma_5(\mathbf{r}) \rho^A \delta^3(\mathbf{r} - \mathbf{r}_A) d\mathbf{r} = \frac{G_{\text{F}}}{2\sqrt{2}} \sum_A Q_{\text{w}}^A \gamma_5(\mathbf{r}_A). \quad (36)$$

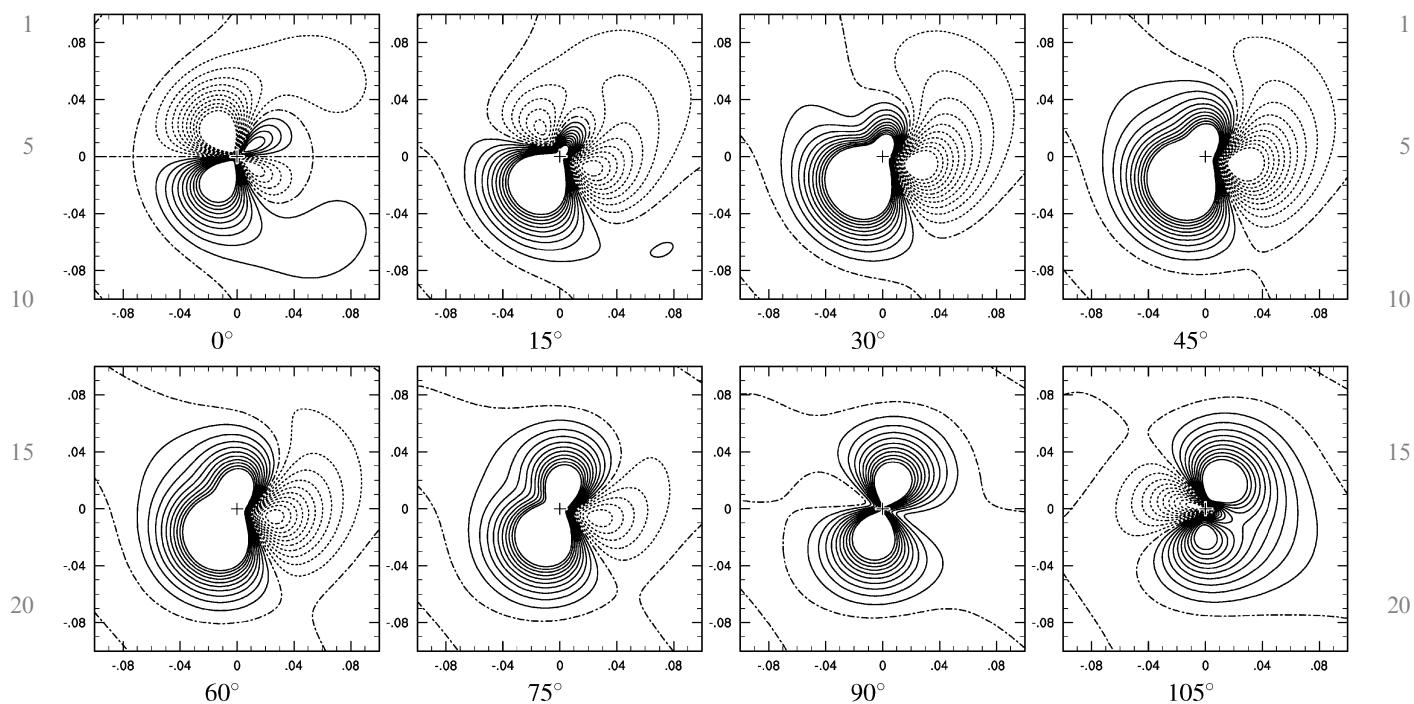
Using this model,  $\gamma_5(\mathbf{r}_A) = M_{\text{PV}}^A$ , and the PV expectation value  
 is a simple sum of the electron chirality densities evaluated at  
 the atomic centers  $A$  and scaled with the respective weak  
 charges  $Q_{\text{w}}^A$  and the prefactor  $G_{\text{F}}/2\sqrt{2}$  whereas the more  
 realistic Gaussian distribution model for the normalized  
 nuclear charge density  $\rho^A$  would require the knowledge of  
 $\gamma_5(\mathbf{r})$  also in the close vicinity of the nuclear center.

It is important to realize that the electron chirality density  
 $\gamma_5(\mathbf{r})$  itself is very atomic in nature. This follows from the very  
 atomic nature of the small components and the fact that the  $\gamma_5$   
 matrix, eqn (10), couples the large and the small components  
 of 4-spinors. This feature is illustrated in Fig. 6 where we  
 compare the reduced contribution  $M_{\text{PV}}^{\text{Te}}$  and the integrated  
 electron chirality density for  $\text{H}_2\text{Te}_2$ , both calculated at the HF  
 level, as a function of dihedral angle. The two curves are  
 qualitatively very similar (Fig. 6), but only  $M_{\text{PV}}^{\text{Te}}$  is integrated  
 including the nucleon density.

In Fig. 7 we have plotted the HF  $\gamma_5(\mathbf{r})$  around one Te atom  
 in  $\text{H}_2\text{Te}_2$  for selected H-Te-Te-H dihedral angles using the  
 orientation sketched in Fig. 5. The dimensions of the plots



**Fig. 6** Reduced HF contribution  $M_{\text{PV}}^{\text{Te}}$  for  $\text{H}_2\text{Te}_2$  (left axis) and the  
 integrated HF electron chirality density  $\gamma_5(\mathbf{r})$ , eqn (35), (right axis) as a  
 function of dihedral angle (both in atomic units).



**Fig. 7** HF electron chirality density  $\gamma_5(\mathbf{r})$ , eqn (35), around one Te atom in  $\text{H}_2\text{Te}_2$  for several H–Te–Te–H dihedral angles (for the orientation of the molecule, see Fig. 5). Solid (dotted) contour lines are plotted in the range from  $+0.0005$  to  $+0.005$  ( $-0.0005$  to  $-0.005$ ) atomic units in intervals of  $0.0005$  atomic units. The dash-dotted contour line represents  $\gamma_5(\mathbf{r}) = 0$ . The cross represents the position of the nucleus. The dimensions of the plots are  $0.2 \times 0.2 a_0$ .

( $0.2 \times 0.2 a_0$ ) are restricted to the close vicinity of the Te center position since only the nuclear region is significant for the PV expectation value. At all dihedral angles one can observe regions of positive and negative  $\gamma_5(\mathbf{r})$  and several contour lines representing isosurfaces where  $\gamma_5(\mathbf{r}) = 0$  relatively close to the nucleus. At the dihedral angle  $0^\circ$   $\gamma_5(\mathbf{r})$  has four lobes around the nucleus which lies exactly in the  $\gamma_5(\mathbf{r}) = 0$  nodal surface—this corresponds to a zero PV expectation value at this molecular structure (all nuclei lie in the nodal surface and the molecular expectation value is zero). Increasing the dihedral angle from zero, the nodal surface shifts away from the nucleus which enters a region of positive  $\gamma_5(\mathbf{r})$  with increasing magnitude and this corresponds to the general behavior of the curves in Fig. 1. Close to the  $90^\circ$  dihedral angle the  $\gamma_5(\mathbf{r}) = 0$  nodal surface returns and passes through the nucleus which can then be seen in a region of (relatively small) negative  $\gamma_5(\mathbf{r})$  at  $105^\circ$  dihedral angle. At  $180^\circ$  dihedral angle (not shown in Fig. 7 because plot would be zero everywhere), all nuclei lie again in the  $\gamma_5(\mathbf{r}) = 0$  nodal surface (mirror plane).

In all plots presented in Fig. 7 the  $\gamma_5(\mathbf{r}) = 0$  nodal surfaces are relatively close to the nuclear center, which illustrates the general difficulty for understanding and modeling the PV expectation value: it is possible to obtain very different atomic contributions,  $E_{\text{PV}}^{\text{A}}$ , even of opposite sign by only a tiny displacement of the nodal surface induced by a minute change in the molecular structure.

### 4.3 A variational approach to the single-center theorem

In contrast to the hydrogen dichalcogenides, the CHBrCIF molecule has been subject to experimental studies of PV in

molecules, albeit so far with negative results. Following a suggestion by Letokhov and co-workers,<sup>119,120</sup> the group of Chardonnet searched for the signature of parity violation in the CHBrCIF molecule in the form of a difference  $\Delta\nu_{\text{PV}} = \nu_{R(-)} - \nu_{S(+)}$  between the two enantiomers in their infrared spectral absorption line frequencies. More precisely a hyperfine component of the C–F stretching fundamental was probed by laser-saturated absorption spectroscopy.<sup>30,121</sup> In these experiments a sensitivity  $\Delta\nu_{\text{PV}}/\nu$  of  $5 \times 10^{-14}$  was attained. However, theoretical calculations indicate that the PV shift  $\Delta\nu_{\text{PV}}^0 \rightarrow 1$  for the fundamental  $0 \rightarrow 1$  transition of the C–F stretch of CHBrCIF is on the order of  $-2.4$  mHz,<sup>56,122–124</sup> corresponding to  $\Delta\nu_{\text{PV}}/\nu \approx -8 \times 10^{-17}$ , that is, three orders of magnitude smaller. In view of these results, the group of Chardonnet has oriented their research towards molecules containing heavier atoms, such as oxorhenium compounds, and are developing a new ultra-high resolution experiment based on the sub-Doppler two-photon Ramsey fringes technique which targets a sensitivity of  $0.01$  Hz ( $3 \times 10^{-16}$ ) or better.<sup>35,57,60</sup>

The PV shift of the fundamental C–F stretching mode of the CHBrCIF molecule has been calculated both as an expectation value, eqn (14), in a 4-component relativistic framework<sup>56,105,125</sup> and as a linear response function, eqn (16), in a NR framework.<sup>122,126,127</sup> In the latter case the PV energy is expressed as a double sum involving the NR PV Hamiltonian and SO operators associated with the constituent atoms of the molecule. Diagonal terms are zero according to the single-center theorem of Hegstrom *et al.*<sup>37</sup> In this section we explore a hybrid approach which allows us to probe the single-center theorem in a variational framework. We perform

**Table 5** Contributions to the parity violating energy  $E_{PV}$  for the CHBrClF molecule. The first five columns give the  $E_{PV}$  contributions with only one spin-orbit active nucleus, summed up in column six, labelled "Sum". In these calculations both one- and two-electron SO-contributions were provided by the amfi module. The final two columns refer to calculations based on the conventional X2C Hamiltonian and the 4-component Dirac-Coulomb (DC) Hamiltonian, respectively. A point nucleus model was employed in these calculations. All values are in  $10^{-18} E_h$

	C	H	F	Br	Cl	Sum	X2C	DC
C	-0.0001	0.0000	-0.0028	0.0594	0.0010	0.0576	0.0574	0.0575
H	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
F	-0.0035	0.0000	0.0008	0.0719	-0.1868	0.8823	0.8735	0.8798
Br	-0.6097	0.0007	1.9386	2.4255	4.7602	8.5153	7.8545	8.2086
Cl	0.0584	0.0007	-0.1048	-3.4845	-0.0590	-3.5893	-3.5330	-3.5986
Sum	-0.5550	0.0015	1.8318	0.0724	4.5154	5.8660	5.2524	5.5473

**Table 6** Contributions to the parity violating transition frequency difference  $\Delta\nu_{PV}^{0\rightarrow 1}$  between the two enantiomers (*R-S*) for the fundamental  $0 \rightarrow 1$  transition of the C-F stretching mode of the CHBrClF molecule. The first five columns give the contributions with only one spin-orbit active nucleus, summed up in column six, labelled "Sum". In these calculations both one- and two-electron SO-contributions were provided by the amfi module. The next two columns refer to calculations based on the conventional X2C Hamiltonian and the 4-component Dirac-Coulomb (DC) Hamiltonian, respectively, whereas the final column reports the harmonic contribution to the DC calculation. A point nucleus model was employed in these calculations. All values are in mHz

	C	H	F	Br	Cl	Sum	X2C	DC	DC <sup>ham</sup>
C	0.000	0.000	0.001	0.172	-0.041	0.132	0.132	0.132	-0.027
H	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
F	0.000	0.000	0.000	-0.043	-0.001	-0.044	-0.047	-0.046	0.100
Br	0.285	-0.003	0.066	-1.175	-2.518	-3.345	-3.355	-3.334	2.309
Cl	-0.018	0.000	-0.013	1.424	0.013	1.406	1.408	1.412	-0.349
Sum	0.267	-0.003	0.054	0.378	-2.547	-1.851	-1.862	-1.836	2.060

2-component relativistic calculations based on the X2C Hamiltonian. In such calculations an exact block diagonalization of the parent Dirac Hamiltonian to 2-component form is carried out. The corresponding picture transformation of the two-electron operator is not carried out, since the resulting two-electron integrals are expressed in terms of the full set of two-electron integrals of the 4-component calculation and thus engenders a computational cost *higher* than the parent calculation. Instead, two-electron SO contributions are typically generated in an atomic mean-field fashion, in our case by the AMFI code.<sup>102,103</sup> We have carried out a series of calculations in which the X2C Hamiltonian in the spinfree form has been combined with both one- and two-electron SO contributions generated by the AMFI code for a single atom at a time. The PV energy is then calculated as an expectation value, but with a wave function generated with SO contributions from a single center. A similar approach has been employed by van Wüllen in a computational study of magnetic anisotropy.<sup>128</sup> We also note in passing that a 2-component Zeroth-Order Regular Approximation (ZORA) study of molecular parity violation has been reported by Berger *et al.*<sup>129</sup>

The resulting PV energies  $E_{PV}$  at the equilibrium geometry of CHBrClF are given in Table 5. For comparison we also give corresponding values obtained from conventional calculations based on the 2-component X2C and the 4-component DC Hamiltonian. In all calculations we employ a point charge model for the nuclei, which, in view of the discussion in section 2.2, implies that contributions to  $E_{PV}$  are exclusively obtained from mixing of atomic  $s_{1/2}$  and  $p_{1/2}$  orbitals on the same center and thus conforms to the restriction imposed on the single-center theorem.<sup>37</sup> The entries of the first five columns of Table 5 are given in the form  $(A_{PV}, B_{SO})$  where the row refers to the atomic contribution  $E_{PV}^A$  to the total PV energy and the column to the SO-active nucleus. The individual PV

contributions are summed up in column six and, comparing to the results obtained by conventional X2C calculations in column seven, one indeed observes a high degree of additivity of the individual SO-contributions, as implied by the structure of eqn (16). We also note a very good agreement of the 2-component X2C results with the full 4-component DC results, with a maximum deviation of 4% for the bromine PV contribution, in agreement with previous observations in ref. 57 and 130. However, the diagonal elements of Table 5 are generally *not* zero, and even quite significantly so for the heavier elements. This is contrary to the single-center theorem and may indicate significant higher-order SO contributions. We also note that the individual PV contributions from the Br and Cl atoms have opposite signs and so the presence of two heavy atoms have a destructive, rather than constructive effect.

In Table 6 we give the corresponding PV shifts associated with the fundamental C-F stretch of the CHBrClF molecule. Again we observe strong additivity of individual atomic SO-contributions and good agreement with both conventional 2-component X2C results as well as 4-component DC results. We note that both the PV- and SO-contributions from the Br and Cl atoms come with opposite signs. In Table 6 we also give the purely harmonic contributions to the PV shift, showing that all atomic PV contributions change sign when anharmonicity is taken into account, emphasizing the importance of including this effect into simulations of the PV shift in molecular vibrational spectra.<sup>125</sup>

## 5. Conclusion

In this contribution we have analyzed parity violation in sample chiral molecules in a 2- and 4-component relativistic framework. Spin-orbit interaction is accordingly included

1 variationally, and the parity violation energy  $E_{PV}$  may be  
 2 calculated as an expectation value, eqn (14). We have carried  
 3 out a decomposition of the molecular expectation value in  
 4 atomic contributions and demonstrate that  $E_{PV}$  is completely  
 5 dominated by intra-atomic contributions. By integrating the  
 6 electron chirality density  $\gamma_5(\mathbf{r})$  we show that the atomic nature  
 7 of parity violation arises not only from the presence of nuclear  
 8 charge densities in the weak interaction Hamiltonian, but also  
 9 from the coupling of the large and small components of Dirac  
 10 4-spinors by the  $\gamma_5$  matrix. The interaction Hamiltonian  
 11 samples the electron chirality density in the nuclear regions,  
 12 and we show that the nodal structure of  $\gamma_5(\mathbf{r})$ , and thus its sign  
 13 in nuclear regions, is quite sensitive to molecular structure.

14 The picture which emerges from our analysis is that the  
 15 parity violating energy arises from the mixing of valence  $s_{1/2}$   
 16 and  $p_{1/2}$  atomic orbitals on the same center, induced by a  
 17 chiral molecular field. This picture contrasts with the  
 18 manifestly inter-atomic mechanism suggested by the non-  
 19 relativistic framework in which the parity violation energy is  
 20 calculated as a linear response function, eqn (16). We have  
 21 carried out 2-component relativistic calculations on the  
 22 CHBrCIF molecule in which only one nucleus is spin-orbit  
 23 active at a time and demonstrate that the spin-orbit contribu-  
 24 tions are indeed to a large extent additive, giving PV  
 25 energies and vibrational shifts in good agreement with both  
 26 conventional 2-component X2C results as well as 4-compo-  
 27 nent DC results. On the other hand, we show that for the  
 28 heaviest atom, bromine, the spin-orbit contribution gives a  
 29 significant contribution to the parity violation energy of the  
 30 same center contrary to the single-center theorem. We attrib-  
 31 ute this result to higher-order spin-orbit effects not taken  
 32 into account by the single-center theorem.

33 The intra-atomic picture of parity violation that emerges  
 34 from our analysis in a relativistic framework, summarized by  
 35 eqn (32), suggests that it may be possible to construct a model  
 36 for parity violation in chiral molecules by combining  
 37 pre-calculated atomic quantities by simple bonding models,  
 38 the latter providing estimates for the mixing of  $s_{1/2}$  and  $p_{1/2}$   
 39 atomic orbitals in the molecular field. Such a model would not  
 40 only allow a rapid scan of candidate molecules for experi-  
 41 ment, but may ultimately allow the *in silico* design of such  
 42 molecules.

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